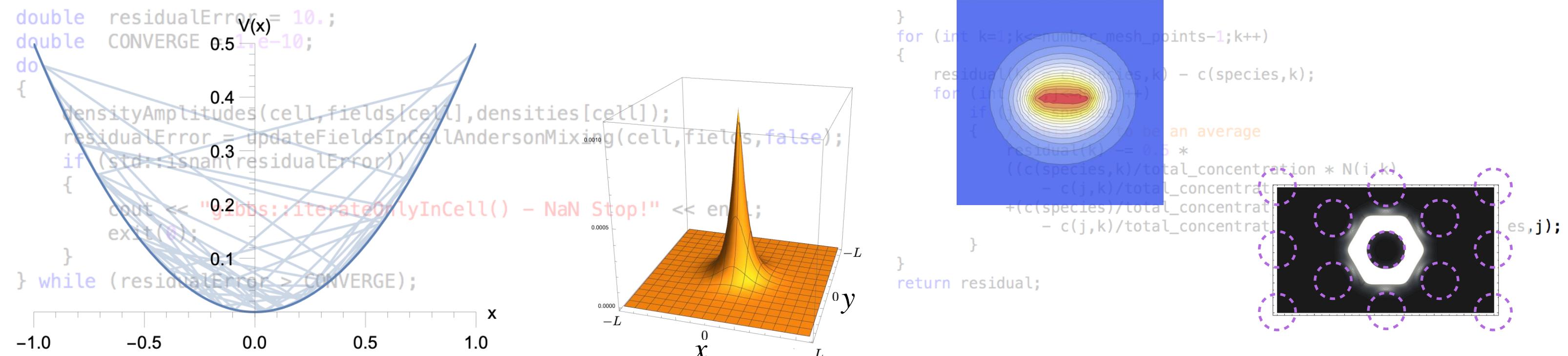
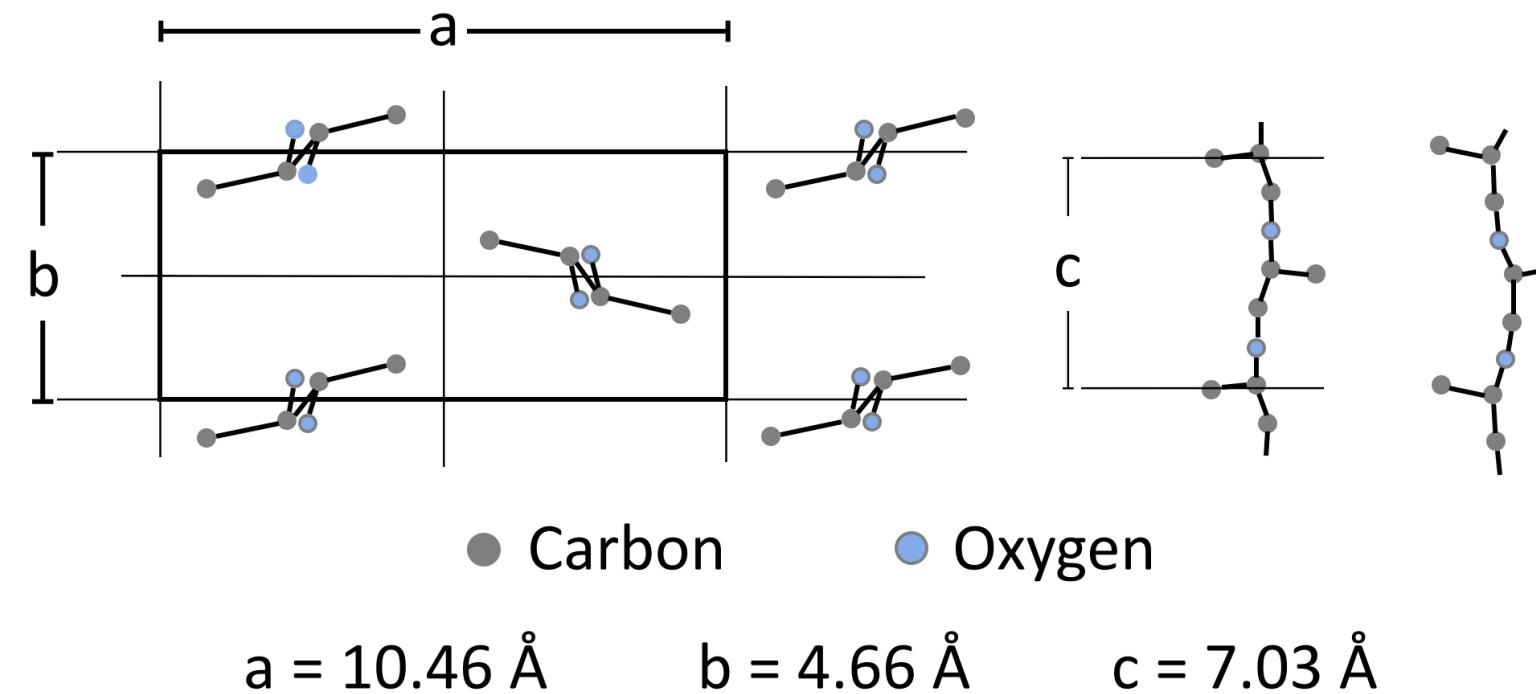


P2<sub>1</sub>2<sub>1</sub>2<sub>1</sub>



# Understanding and Characterizing the Sequence Blockiness of Poly(lactide-co-glycolide)

**Nathaniel (Nate) Lynd, Ph.D.**

Associate Professor, Graduate Recruitment Chair

Laurence E. McMakin, Jr., Centennial Fellow in Chemical Engineering

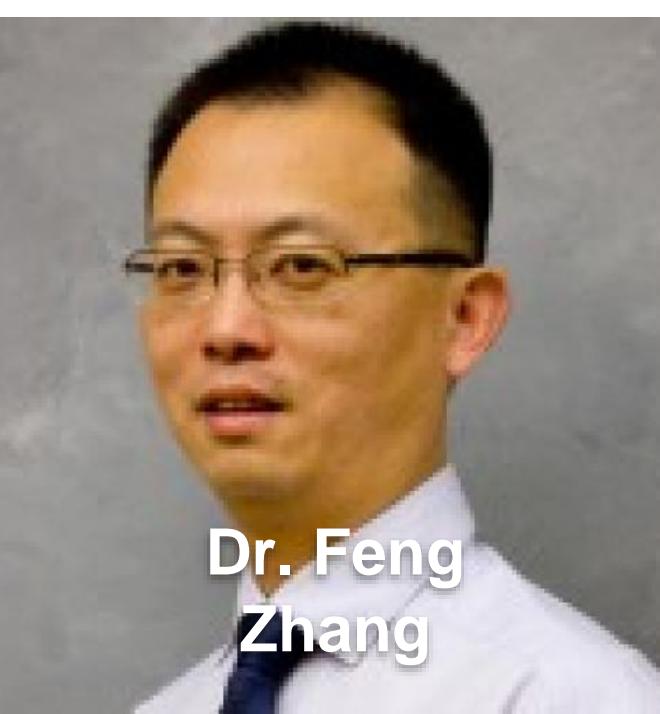
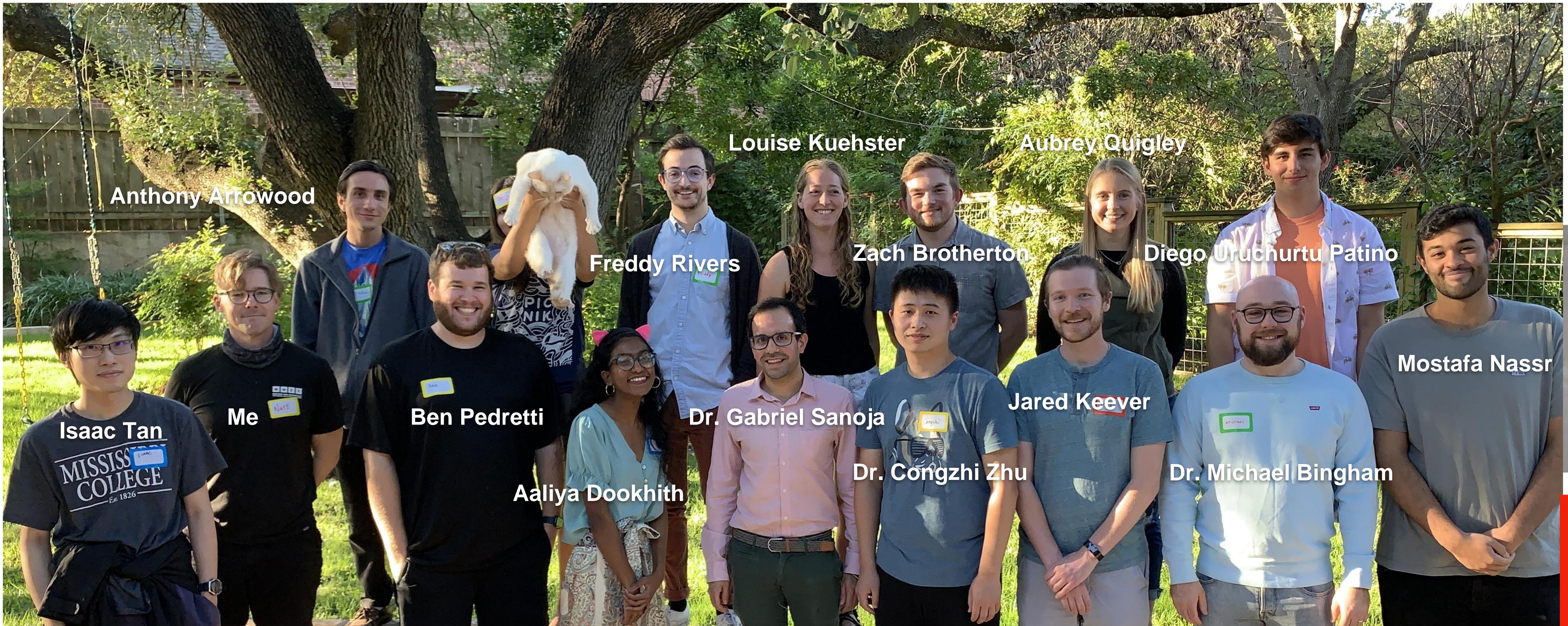
McKetta Department of Chemical Engineering

Texas Materials Institute

Department of Chemistry

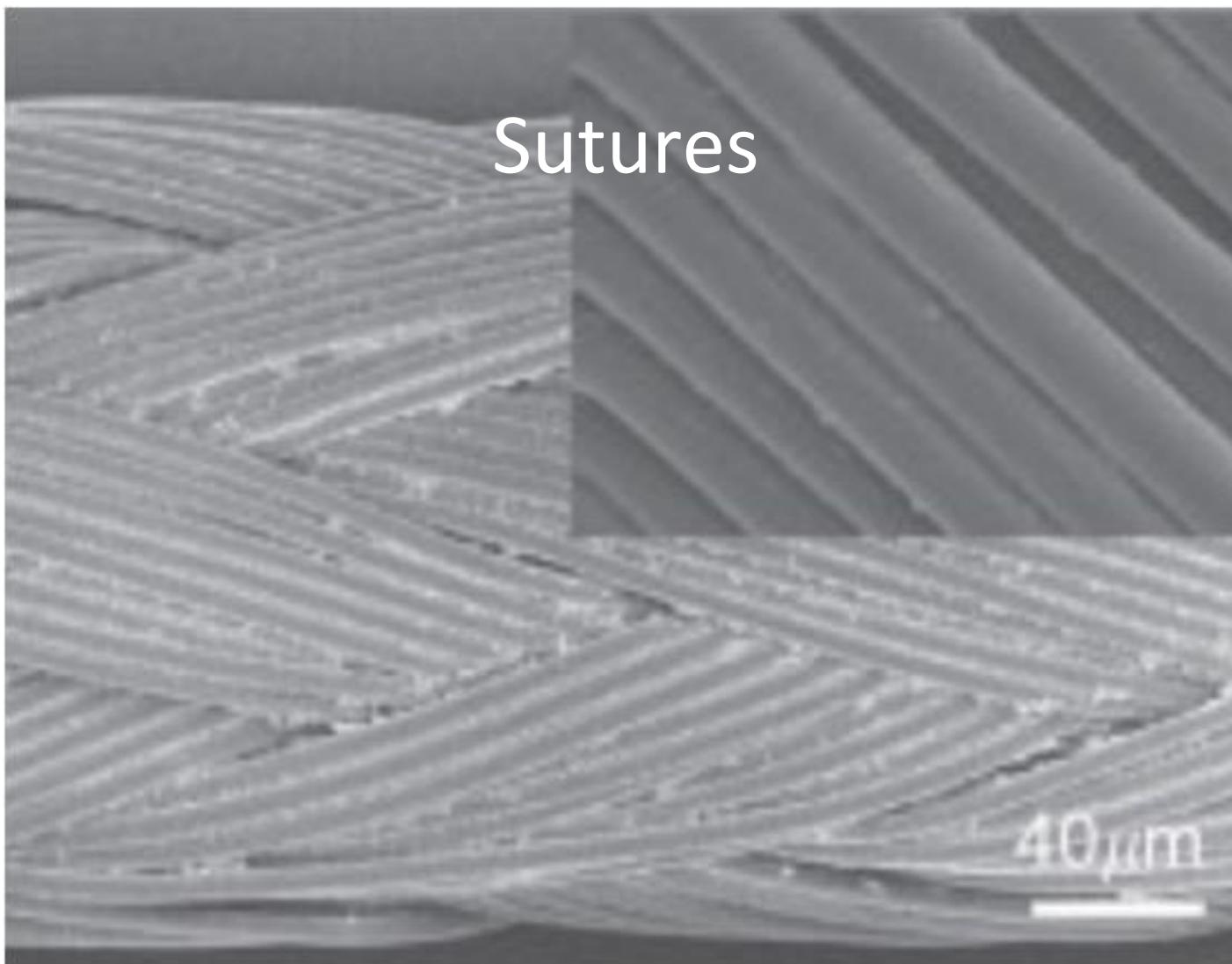
The University of Texas at Austin

# Acknowledgement

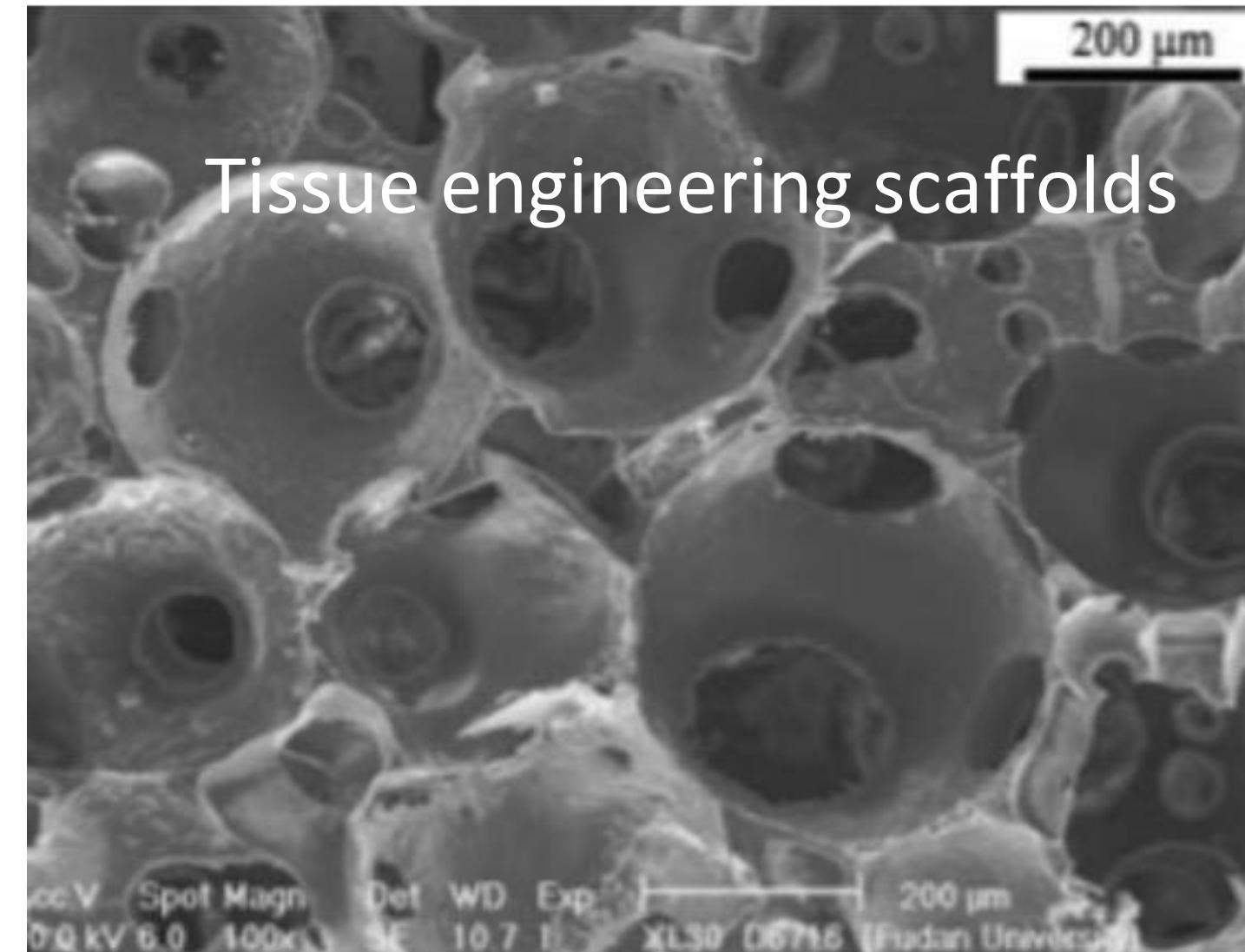


This research was supported by the Broad Agency Announcement (BAA) contract number 75F40120C00198 from the U.S. Food and Drug Administration (FDA). The content is solely the responsibility of the authors and does not necessarily represent the official views or policies of the U.S. FDA.

# Poly(lactide-co-glycolide) (PLGA) is an important biomedical material used in drug delivery



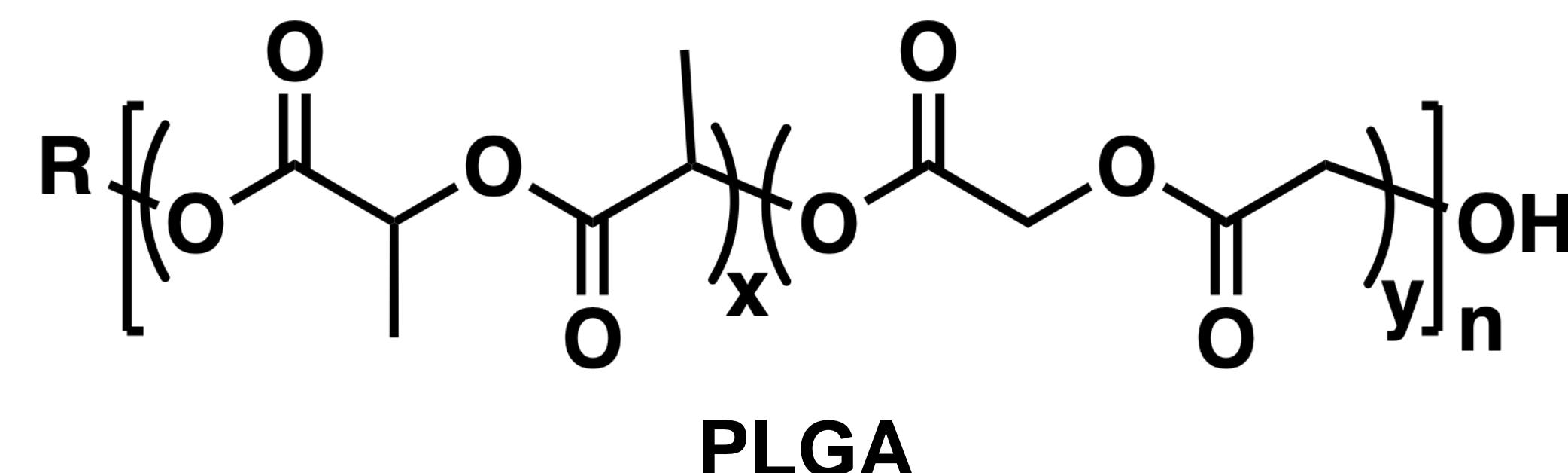
Antimicrobial and Biodegradable PLGA Medical Sutures with Natural Grapefruit Seed Extracts. *Mater. Lett.* 2013, 95, 40–43. <https://doi.org/10.1016/j.matlet.2012.12.090>.



Junchuan Zhang; Hong Zhang; Linbo Wu; Jiandong Ding. Fabrication of Three Dimensional Polymeric Scaffolds with Spherical Pores. *J. Mater. Sci.* 2006, 41 (6), 1725–1731. <https://doi.org/10.1007/s10853-006-2873-7>.



OZURDEX® Mechanism of Action | For HCPs  
<https://hcp.ozurdex.com/mechanism-of-action> (accessed Mar 27, 2021).



# Poly(lactide-co-glycolide) (PLGA) is used in many name-brand drug products

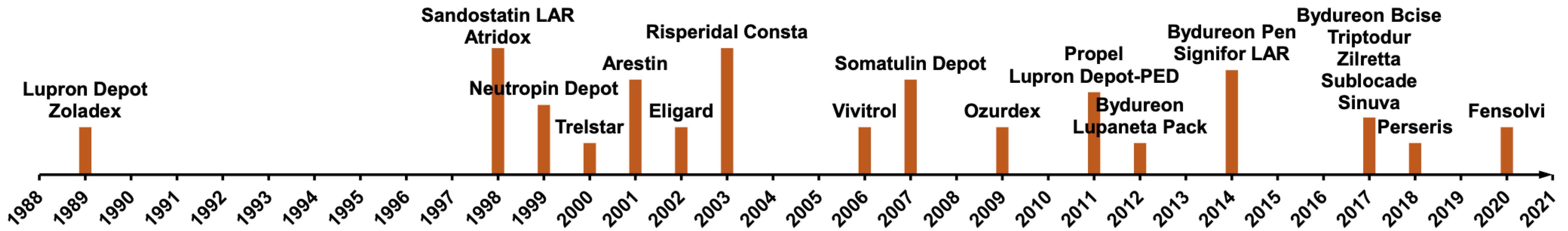
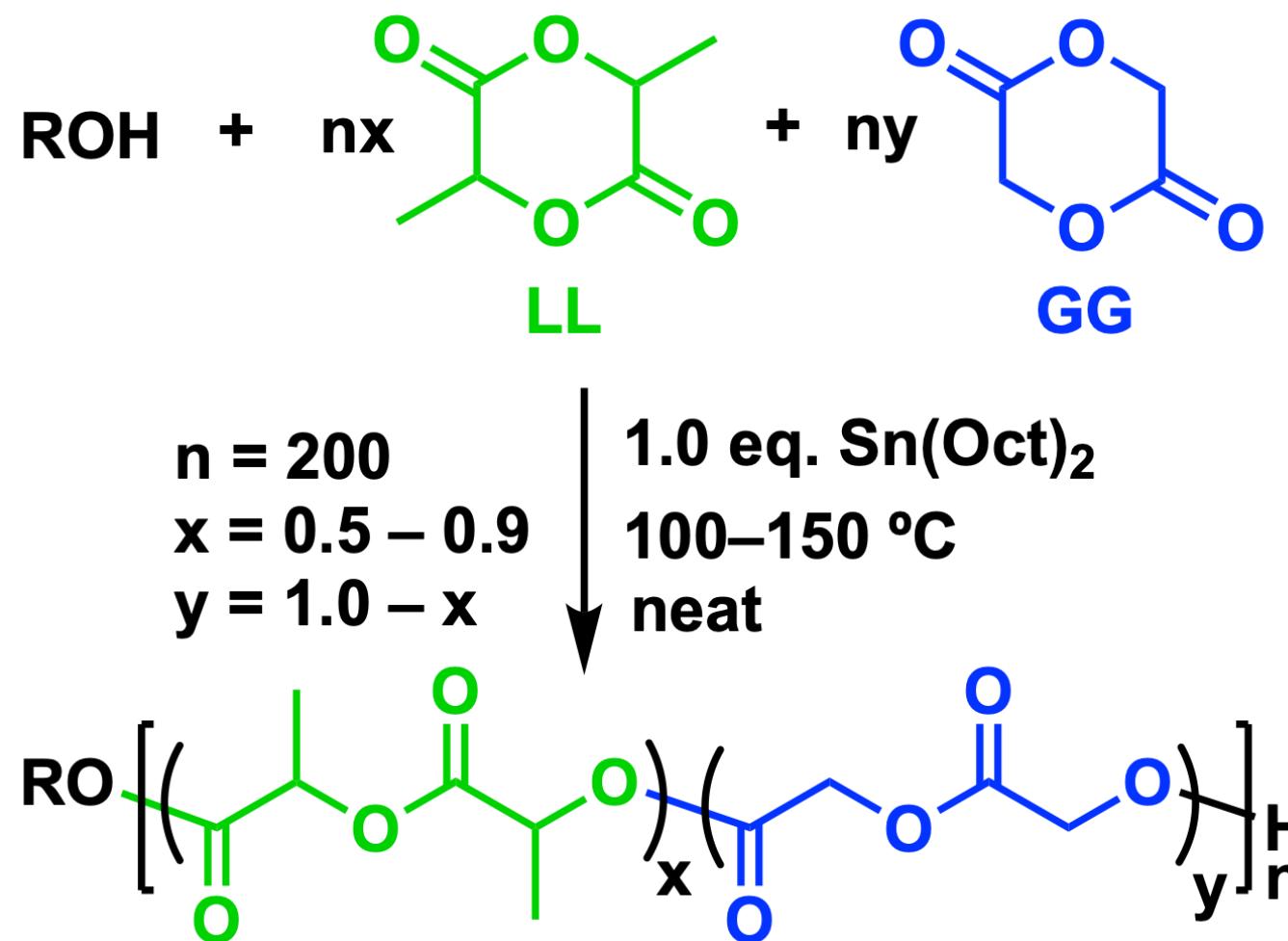


Figure credit Dr. Mark Costello

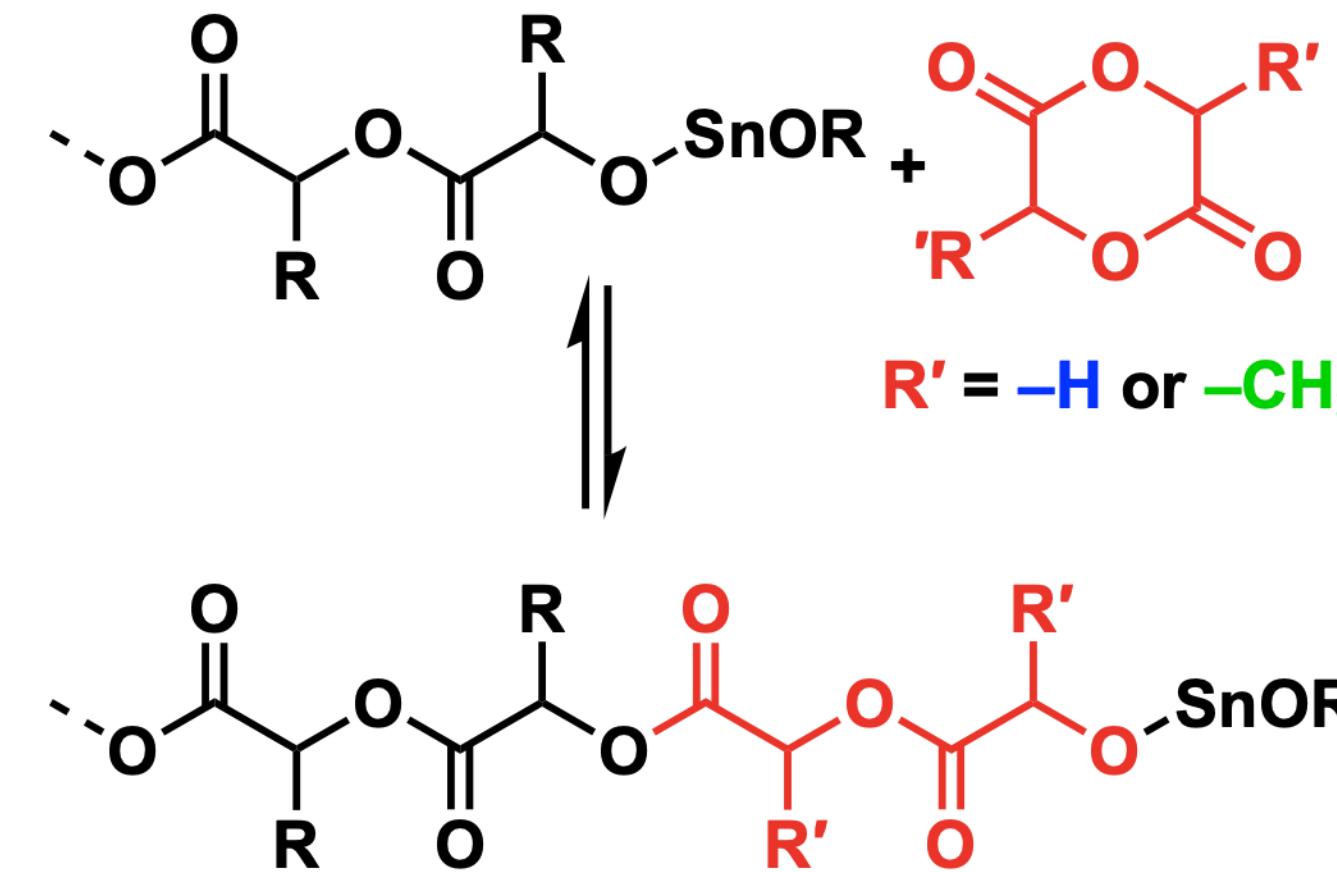
- 25 FDA-approved, PLGA-based injectable formulations
- Only one PLGA-based product is available despite many products existing past their window of patent protection and market exclusivity
- **PLGA is a complex material and we need new conceptual tools to establish sameness in terms of lactide and glycolide sequencing which impacts properties.**

# The equilibrium copolymerization of lactide and glycolide is complex. Standard models of copolymerization do not work

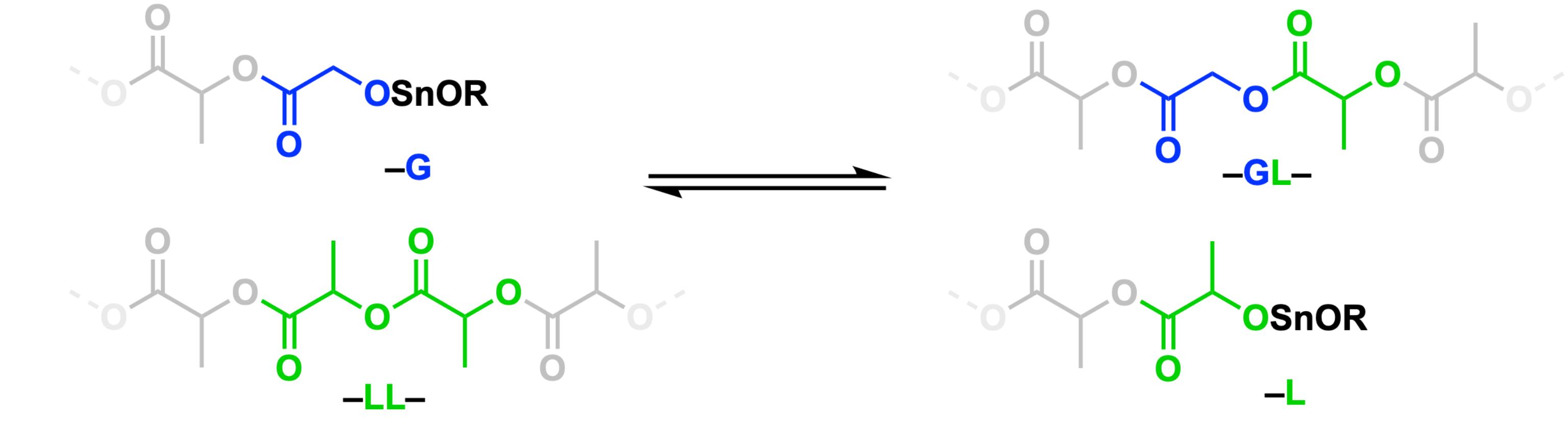
## (a) Polymerization



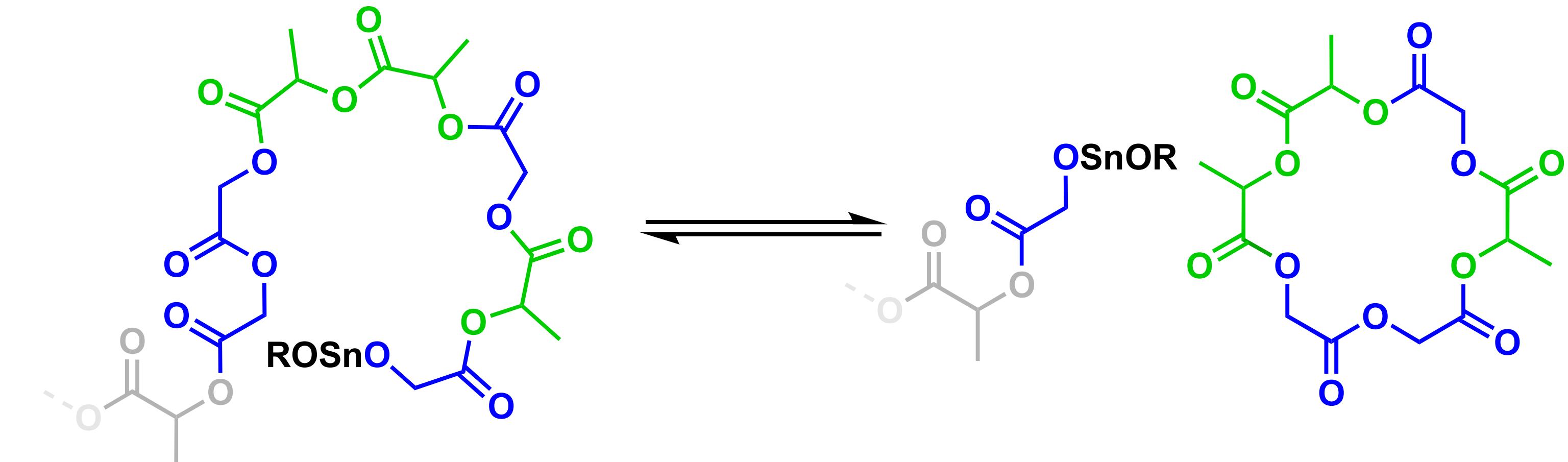
## (b) Depolymerization



## (c) Interchain Transesterification



## (d) Intrachain Transesterification



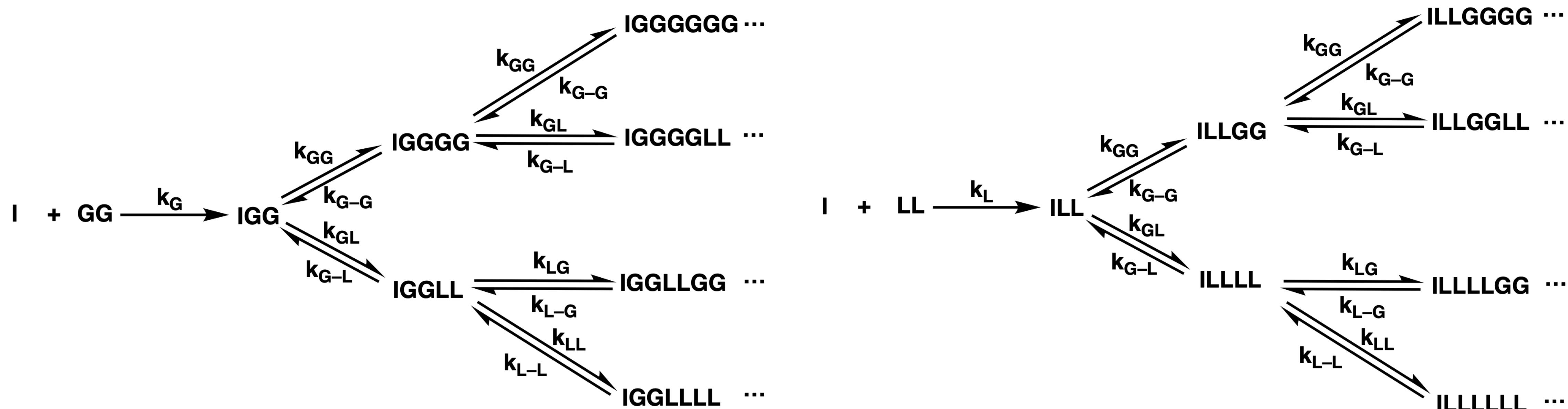
**Reversibility alone renders the problem intractable using traditional deterministic mathematical modeling methods**

**Challenge:** A *reversible* copolymerization requires  $2^n$  coupled linear ordinary differential equations for a minimally correct description of the system (w/o transesterification)

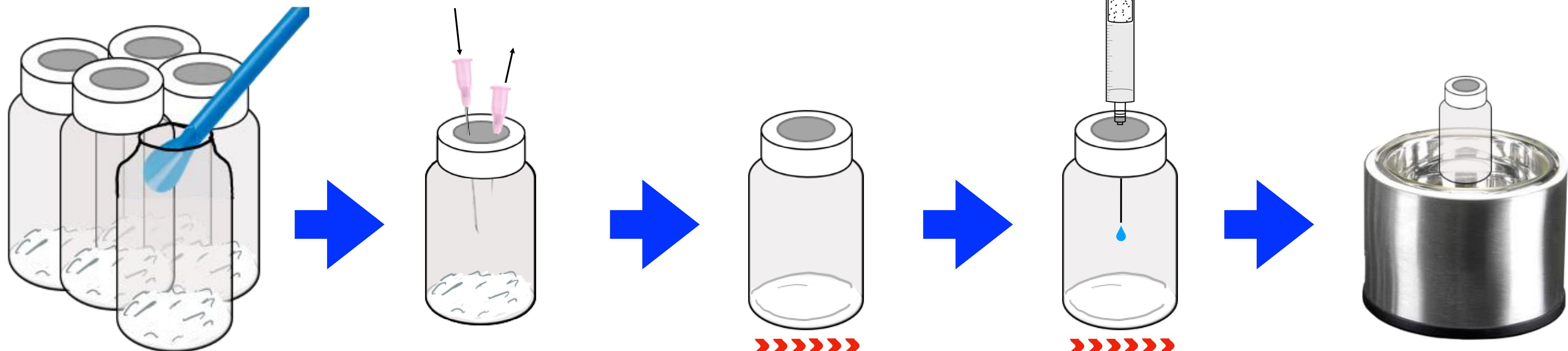
- We must know the concentration of each unique sequence in order to describe reversibility accurately
- $ca. 10^{30}$  equations for  $n = 100$  (w/o molecular weight dispersity)?!?!  
• **We need new conceptual and computational tools to understand this system**

$$c_{P_{GG}} = c_{P_{GGGG}} + c_{P_{LLGG}} = c_{P_{GGGGGG}} + c_{P_{GGLLGG}} + c_{P_{LLGGGG}} + c_{P_{LLLLGG}} = \dots$$

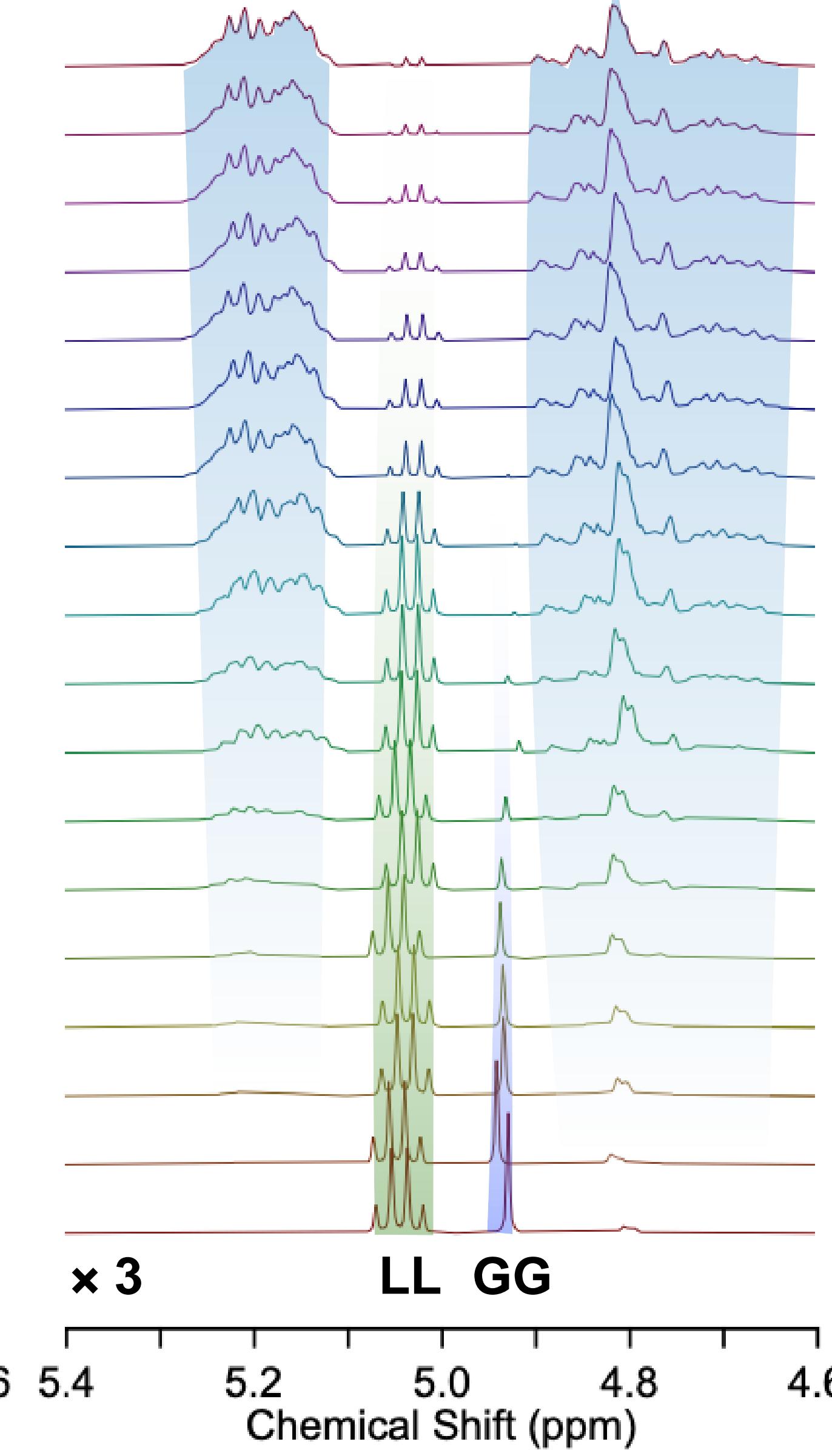
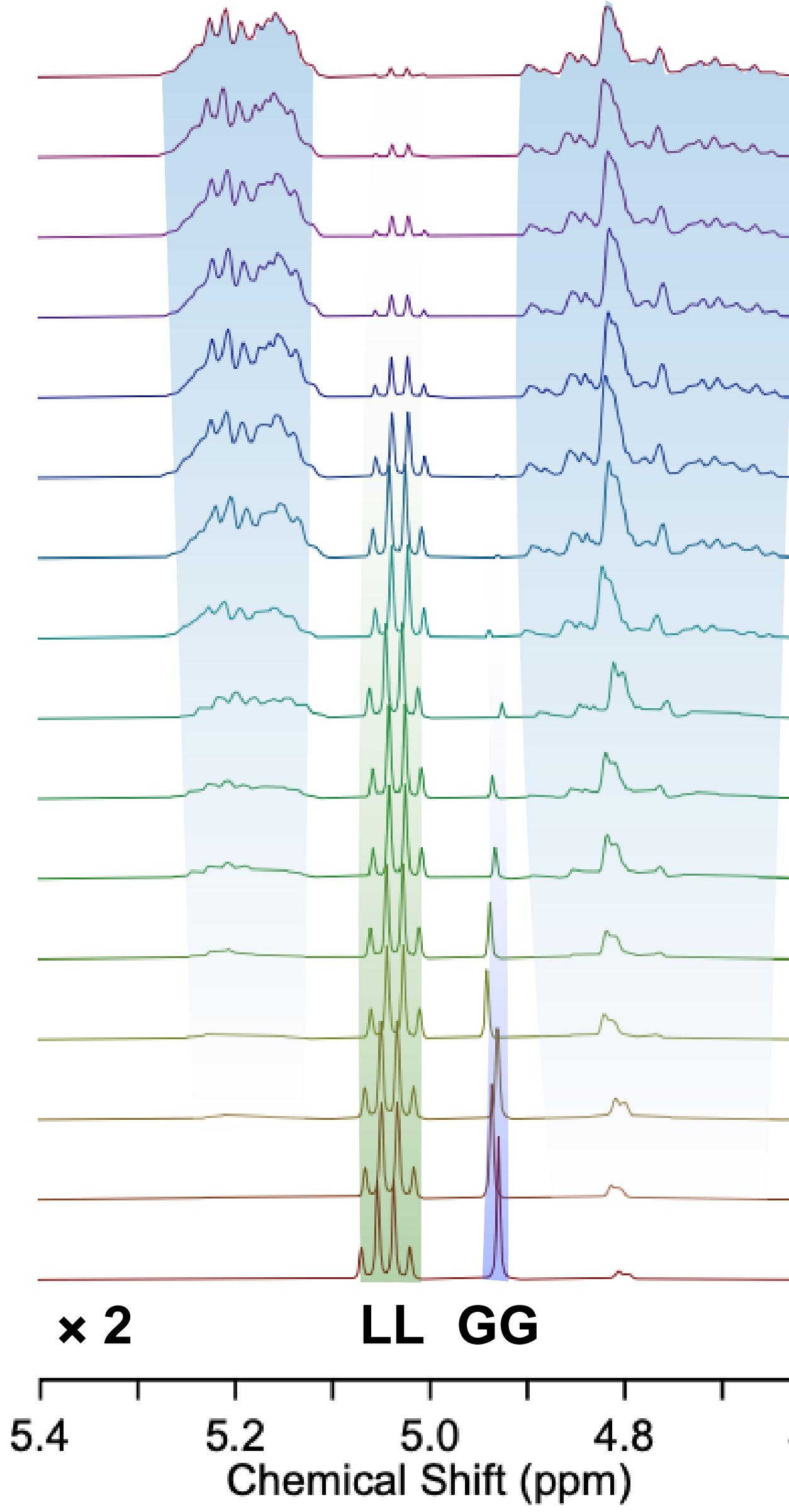
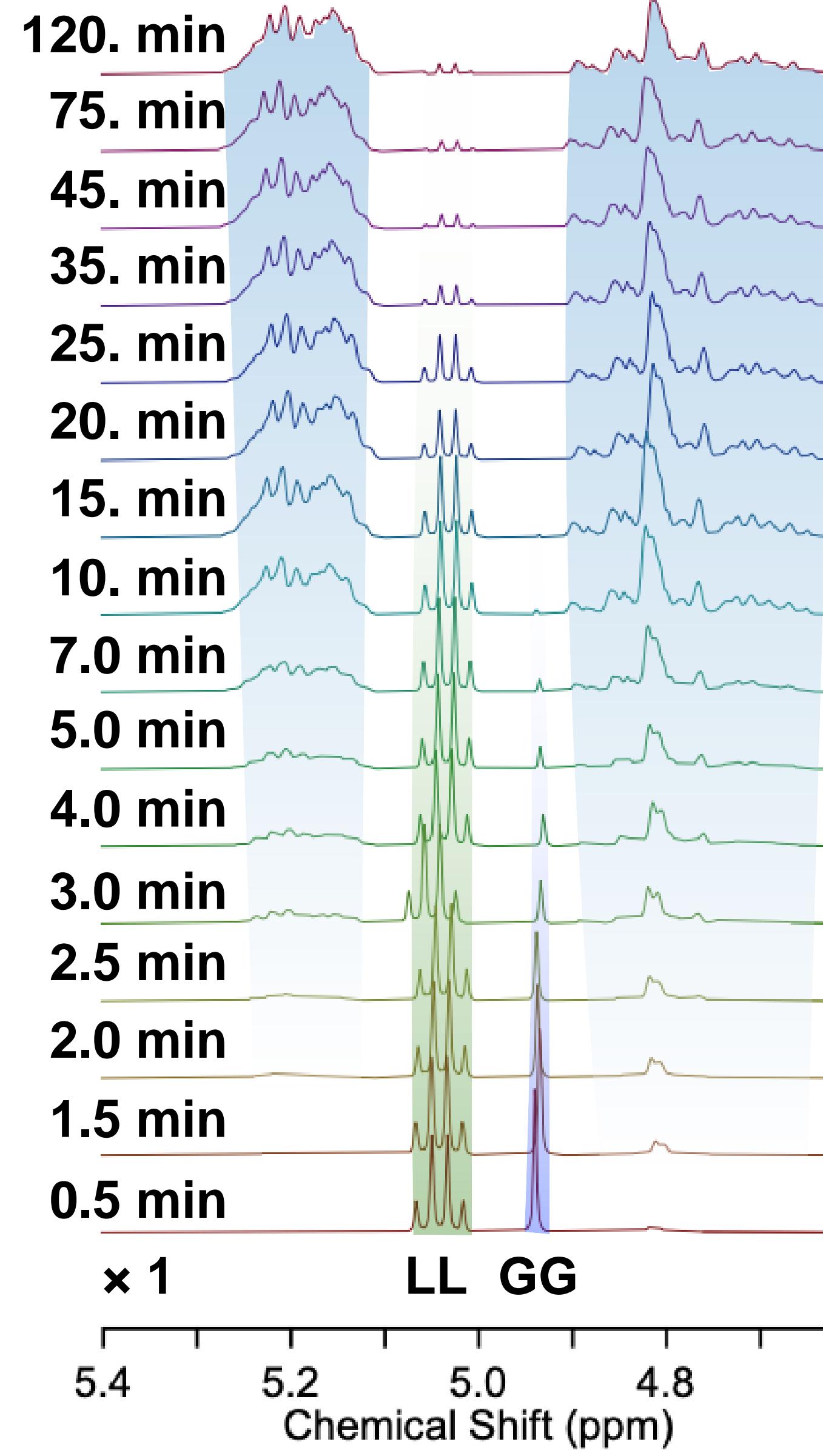
$$c_{P_{LL}} = c_{P_{GGLL}} + c_{P_{LLL}} = c_{P_{LLGGLL}} + c_{P_{GGGGLL}} + c_{P_{GGLLLL}} + c_{P_{LULLLL}} = \dots$$



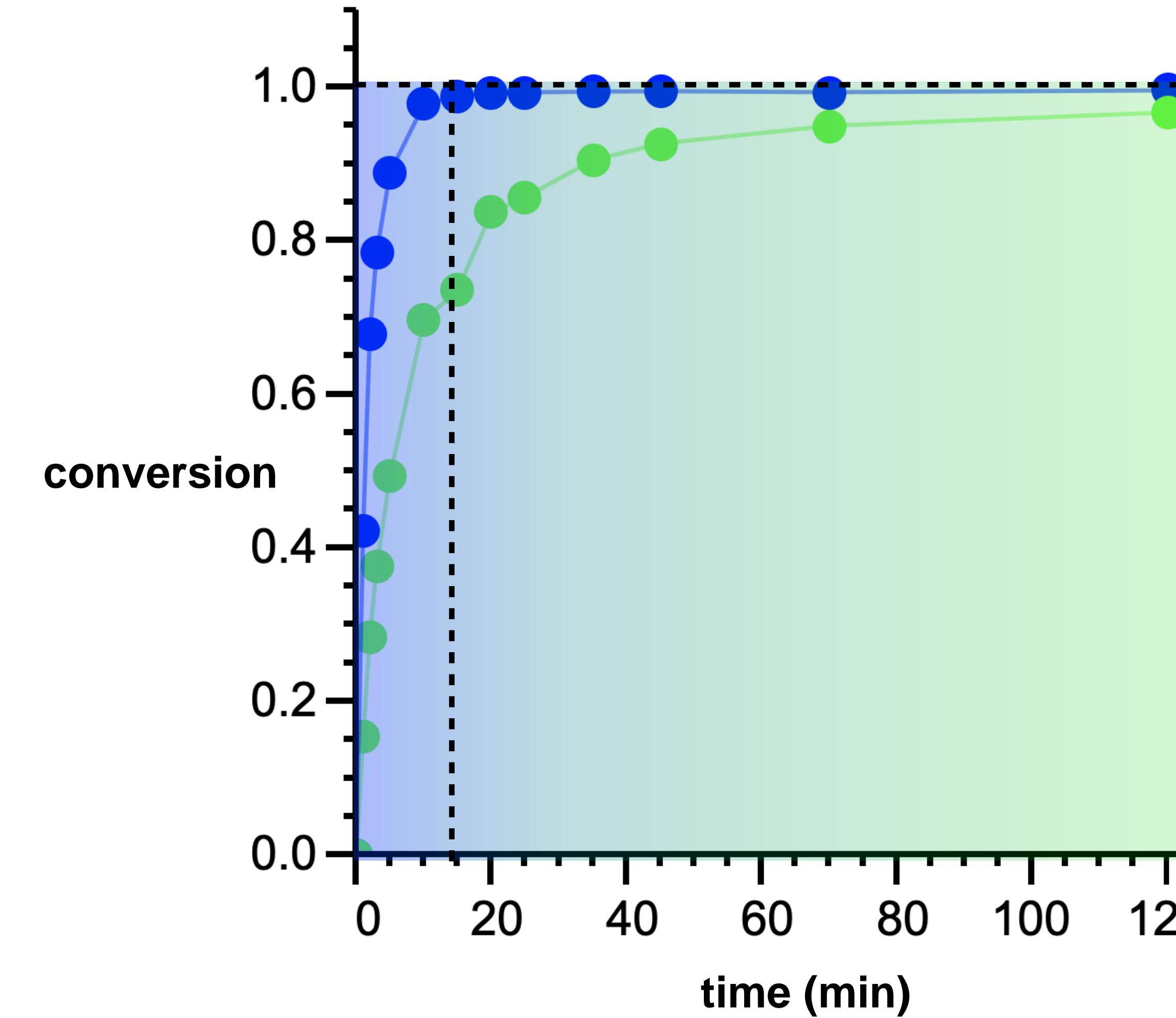
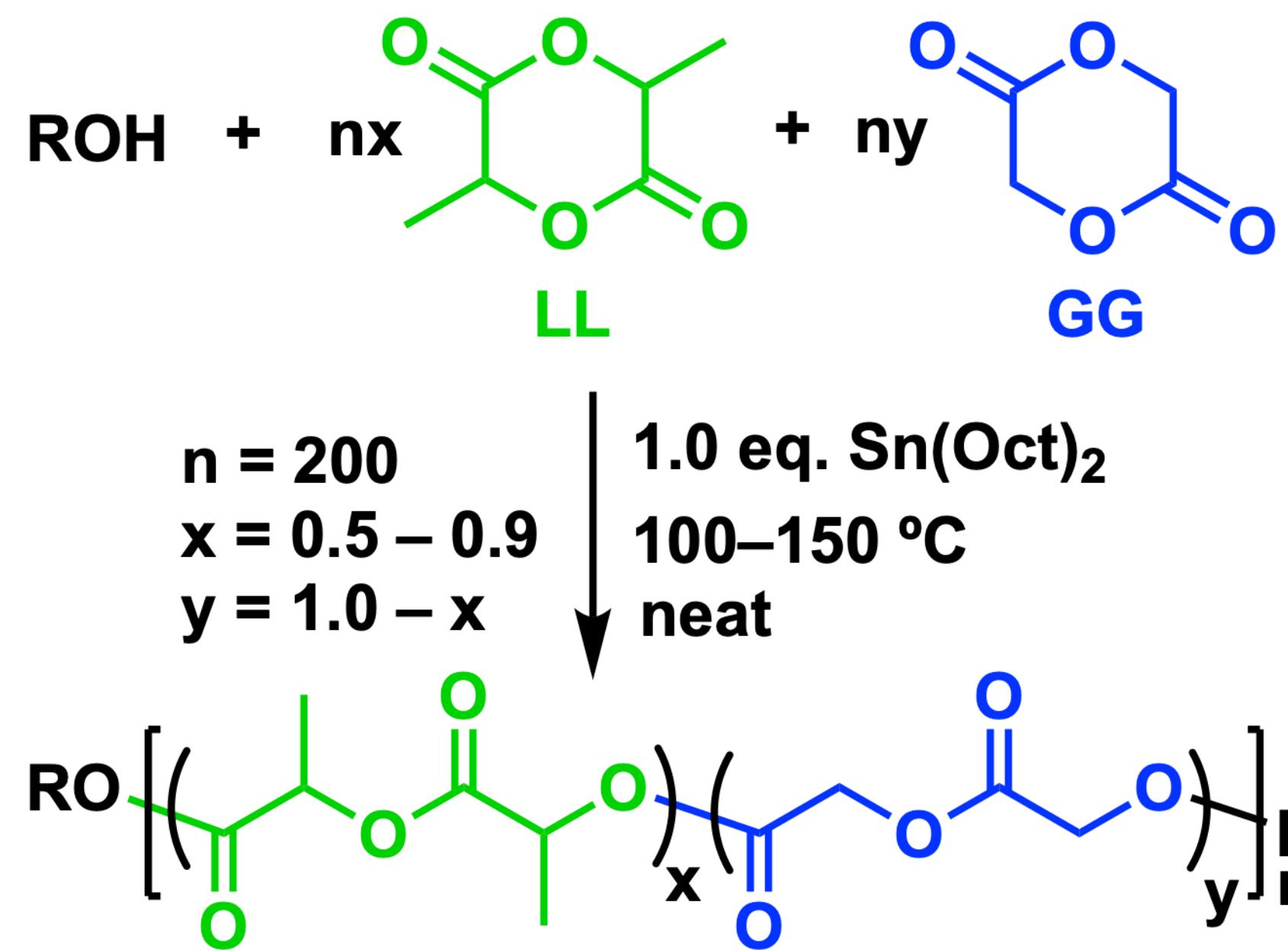
# ... but first, some experimental observations. Copolymerizations are done under conditions similar to those used industrially



# Measure composition using $^1\text{H}$ NMR spectroscopy (3x 75:25 L:G)



# Glycolide was consumed early in the copolymerization. We expect a gradient copolymer with lactide enriched near the chain terminus



Given that glycolide reacts faster than lactide and does not exhibit reversibility, we make the following simplifications valid if  $c_G \ll c_L$  ( $[G]_0 \ll [L]_0$ )

Initiation	$\rho_1 = k_G c_G(t) c_I(t)$
	$\rho_2 = k_L c_L(t) c_I(t)$
	$\rho_3 = k_{GG} c_{P_G}(t) c_G(t)$
	$\rho_4 = k_{GL} c_{P_G}(t) c_L(t)$
Polymerization	$\rho_5 = k_{LL} c_{P_L}(t) c_L(t)$
	$\rho_6 = k_{LG} c_{P_L}(t) c_G(t)$
Depolymerization	<del><math>\rho_7 = k_{G-G} c_{P_G}(t)</math></del>
	<del><math>\rho_8 = k_{G-L} c_{P_G}(t)</math></del>
	<del><math>\rho_9 = k_{L-G} c_{P_{DG}}(t)</math></del>
	$\rho_{10} = k_{L-L} c_{P_{LL}}(t)$
Transesterification	<del><math>\rho_{11} = k_T c_{ends} c_{esters}</math></del>

$$\begin{aligned} \frac{dc_I}{dt} &= -\rho_1 - \rho_2 \\ \frac{dc_G}{dt} &= -\rho_1 - \rho_3 - \rho_6 + \cancel{\rho_7} + \cancel{\rho_8} \\ \frac{dc_L}{dt} &= -\rho_2 - \rho_4 - \rho_5 + \cancel{\rho_8} + \rho_{10} \\ \frac{dc_{P_G}}{dt} &= \rho_1 - \rho_4 + \rho_6 + \cancel{\rho_7} - \cancel{\rho_9} \\ \frac{dc_{P_L}}{dt} &= \rho_2 + \rho_4 - \rho_6 - \cancel{\rho_8} + \cancel{\rho_9} \end{aligned}$$

$$r_G = \frac{k_{GG}}{k_{GL}} \quad r_L = \frac{k_{LL}}{k_{LG}}$$

$$r_{G-G} = \frac{k_{G-G}}{k_{GG}} \quad r_{L-L} = \frac{k_{L-L}}{k_{LL}}$$

$$r_{G-L} = \frac{k_{G-L}}{k_{GL}} \quad r_{L-G} = \frac{k_{L-G}}{k_{LG}}$$

# Fitting a numerical model to data and estimating parameters is like any non-linear regression calculation... it just takes a little longer

## SSR = sum of square residuals

The difference between your data and model (evaluated at the same points) gives you a number

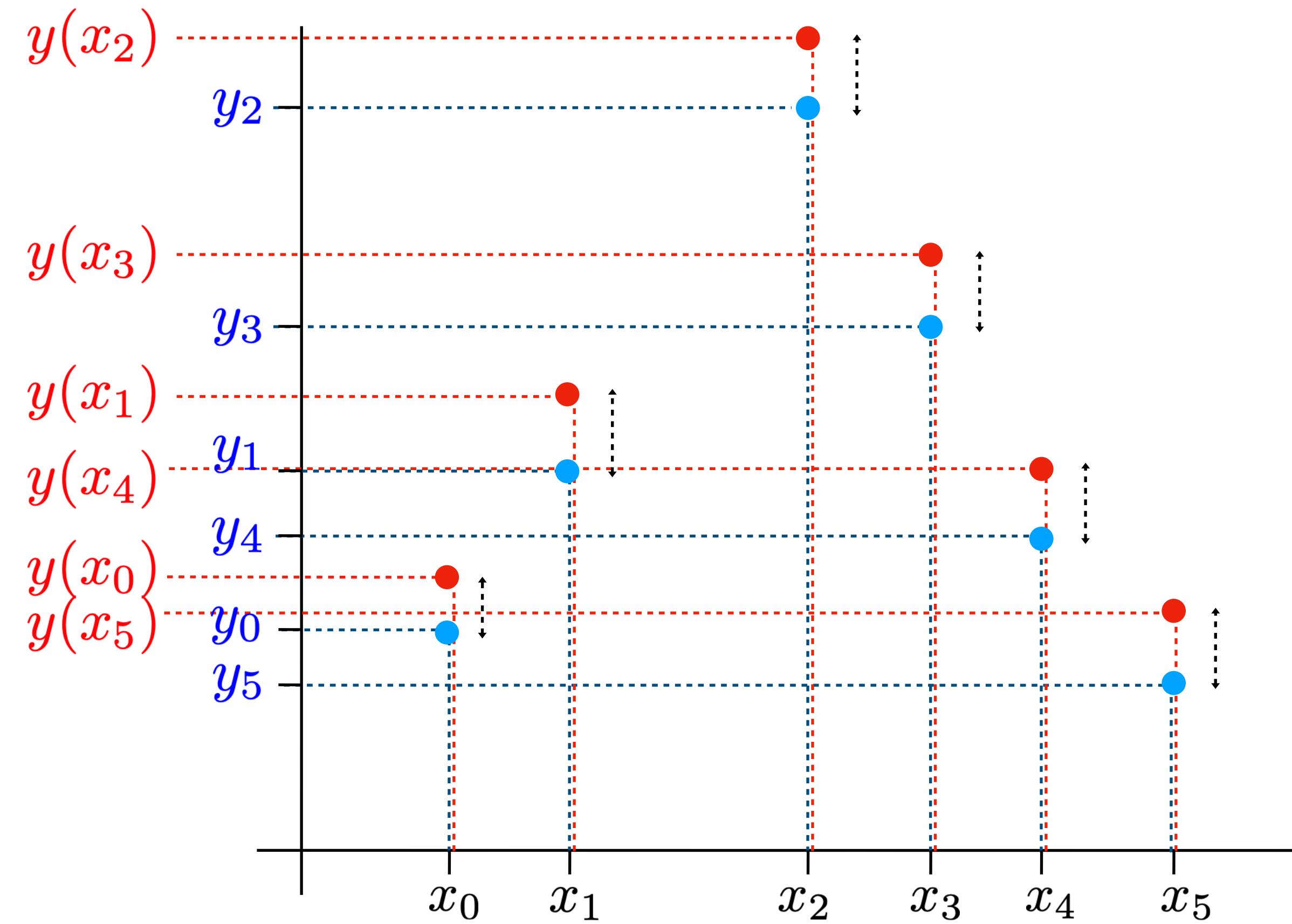
$$SSR(\mathbf{k}) = \sum_i^N (y_i - y(x_i))^2$$

a function of your model parameters – what you want to find

e.g., a linear model:

$$y(x) = mx + b$$

$$SSR(m, b) = \sum_i^N (y_i - mx_i - b)^2$$

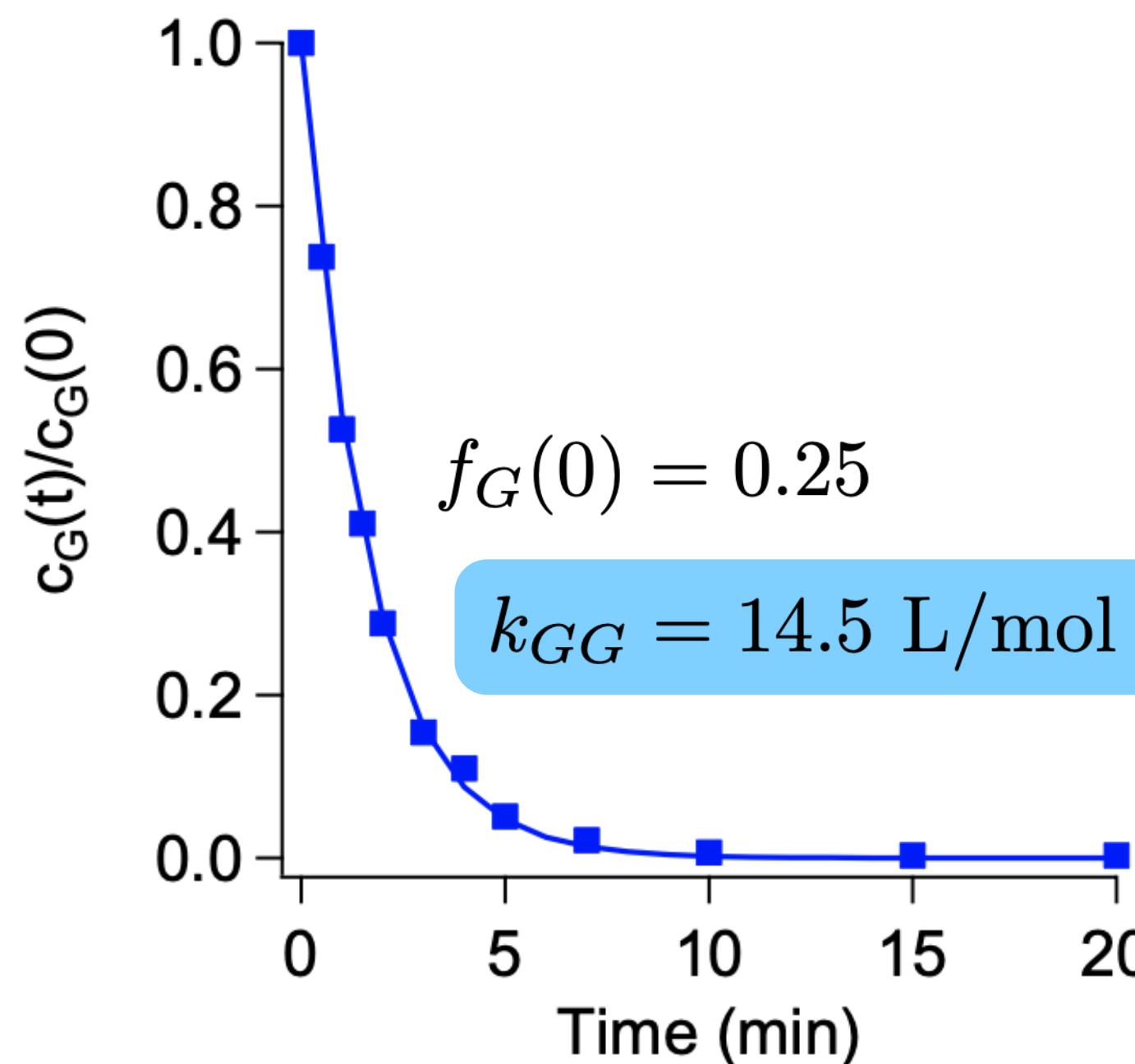


# Experimental data with low glycolide concentration were fit to the simplified deterministic model – three steps yield all rate constants

$$r_G = \frac{k_{GG}}{k_{GL}}$$

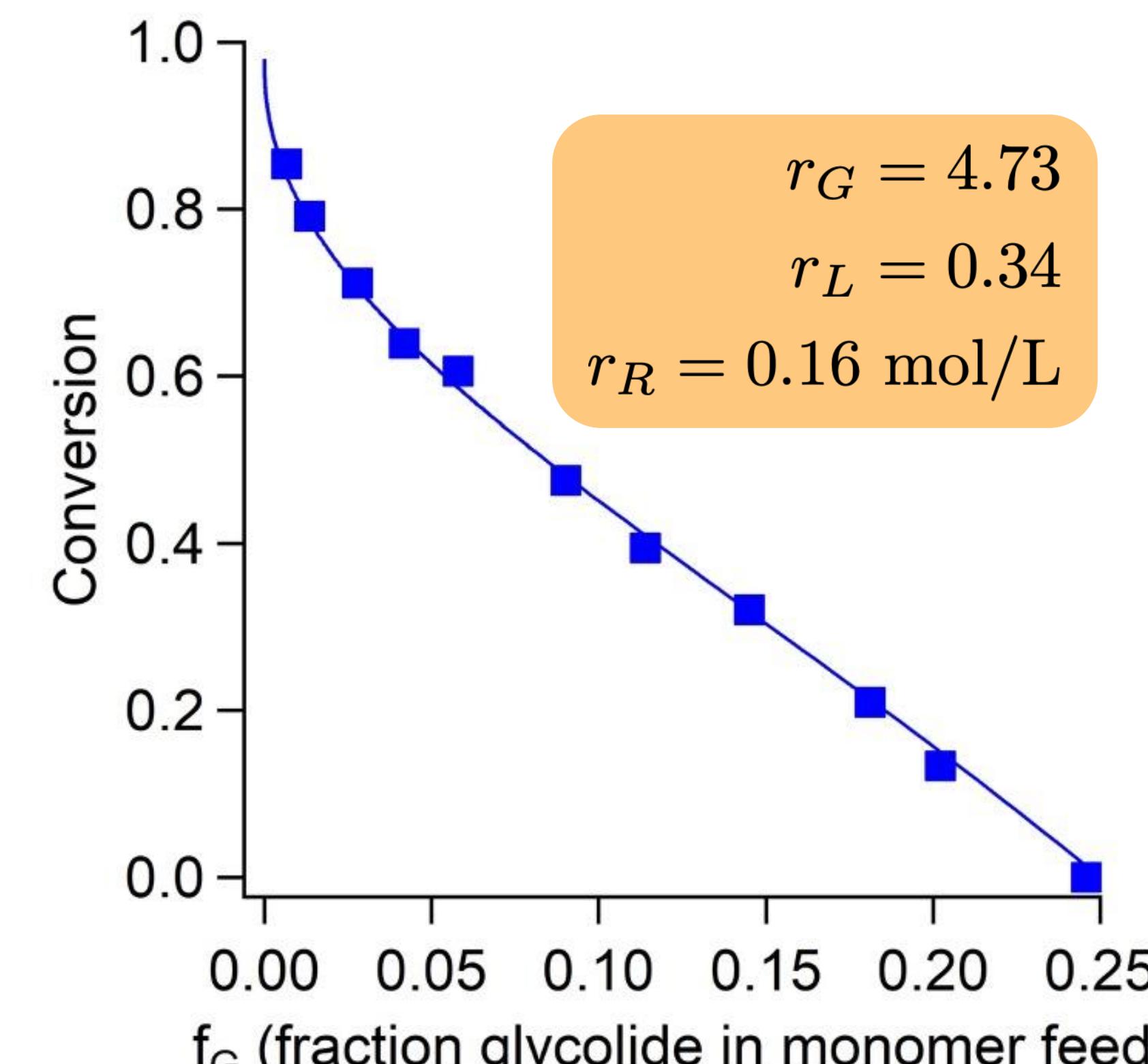
$$r_L = \frac{k_{LL}}{k_{LG}}$$

$$r_R = \frac{k_{L-L}}{k_{LL}}$$

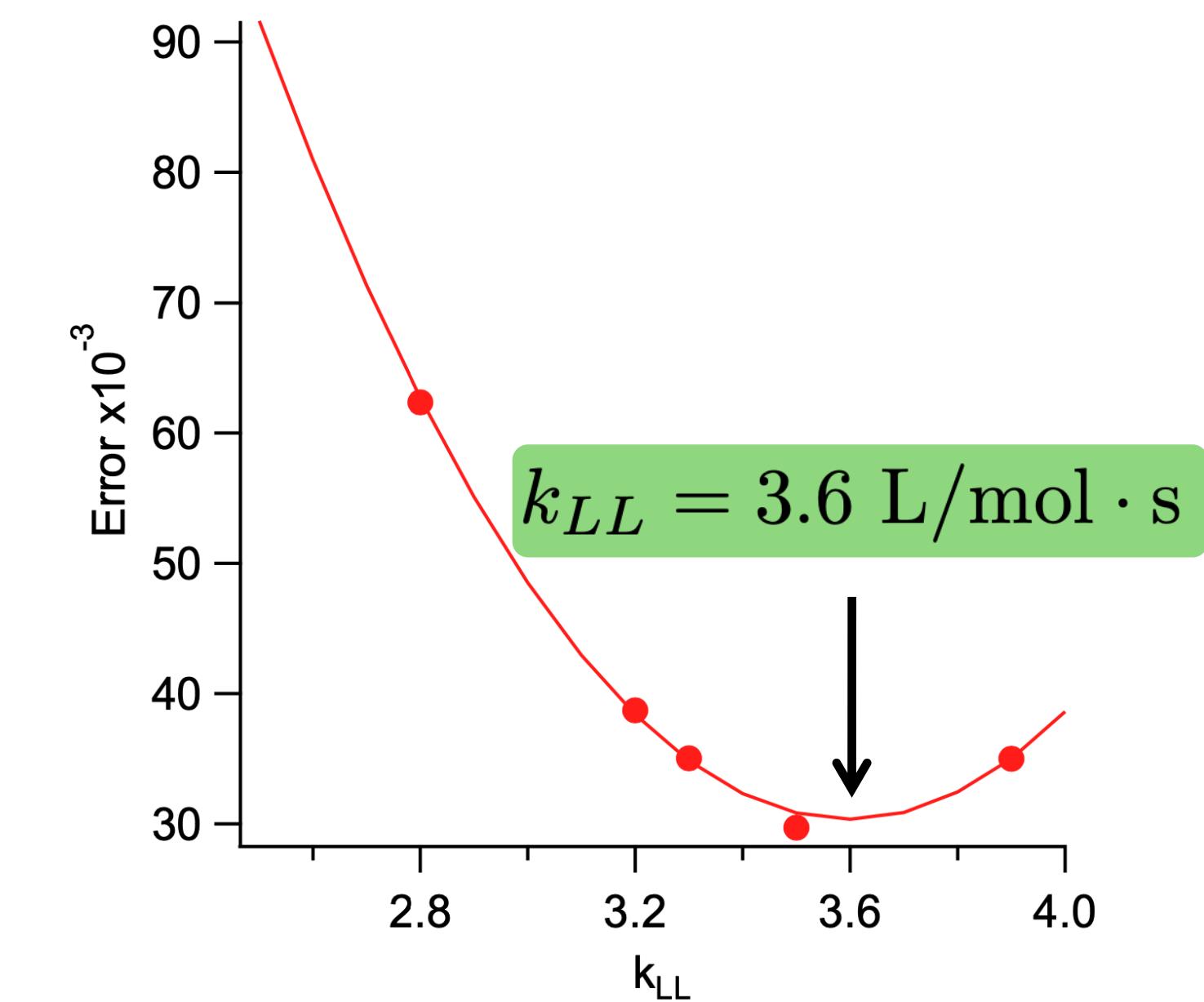


$$\frac{c_G(t)}{c_G(0)} = e^{-k_{GG}c_I(0)t}$$

\*assuming non-terminal kinetics

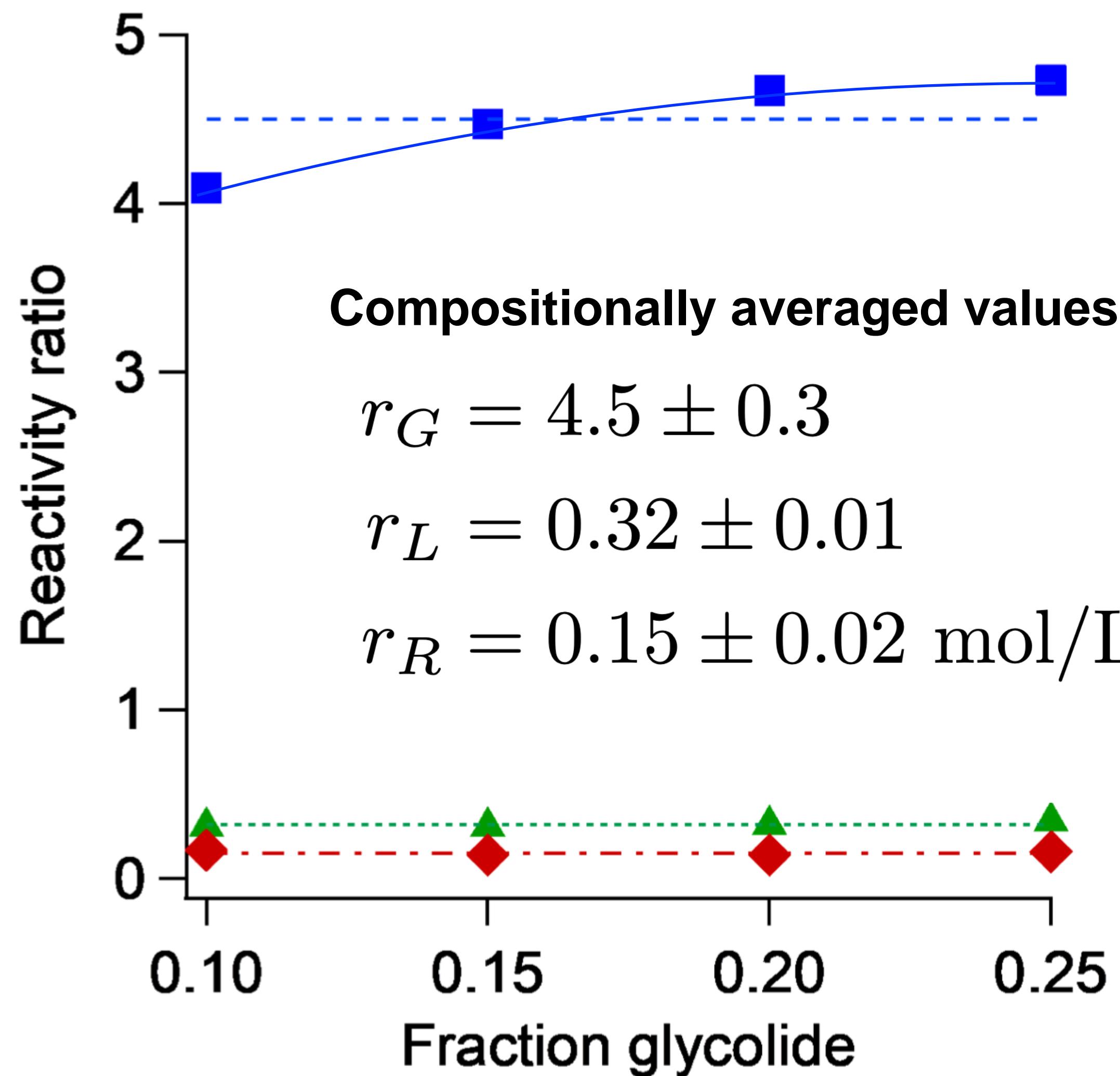


fit to numerical simplified deterministic model



quadratic fit of  $k_{LL}$  with  $k_{GG}$ ,  $r_G$ ,  $r_L$ ,  $r_R$  held constant

# The slight compositional dependence indicates that the simplified deterministic model is missing something important



- Assumption 1: Lactide-only on chain end
- Assumption 2: Glycolide irreversible
- Assumption 3: Effects of transesterification not included

Another numerical approach that has fewer assumptions is needed to help us figure this out

## Computational model II: Stochastic model regression (SMR)

**Challenge:** A *reversible* copolymerization requires  $2^n$  coupled linear ordinary differential equations for a correct description of the system (w/o transesterification)

- We must know the concentration of each unique sequence in order to describe reversibility accurately
- *Ca.*  $10^{30}$  equations for  $n = 100$  (w/o dispersity)?!?
- **We need new conceptual and computational tools to understand this system**

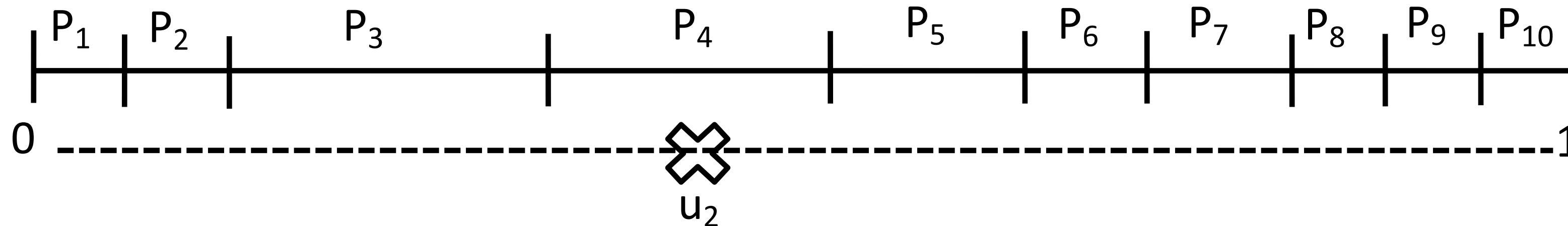
  

- A stochastic (probabilistic) kinetic calculation is perfectly suited for the PLGA problem
  - Gillespie algorithm:
  - The probability of reaction  $i$  ( $\rho_i [=] \text{rate (M/s)}$ ) occurring:

$$P_i = \frac{\rho_i}{\sum_j \rho_j}$$

- All integer arithmetic with a fixed number of possible polymer chains. Simulation is done on a small volume of the polymerization (e.g.  $10^{-19} \text{ L}$  with 8000 polymer chains)
- We generate two random number at every within  $[0,1]$ ,  $s_1$  and  $s_2$ .  $s_1$  selects the reaction,  $s_2$  sets the sojourn time  $\tau$

## Computational model II: Stochastic model regression (SMR)



$$\rho_4 = k_{GL} c_{P_G}(t) c_L(t)$$

Generate  $s_3$  [1,  $N_{\text{chains}}$ ] until appropriate end-group (G) found

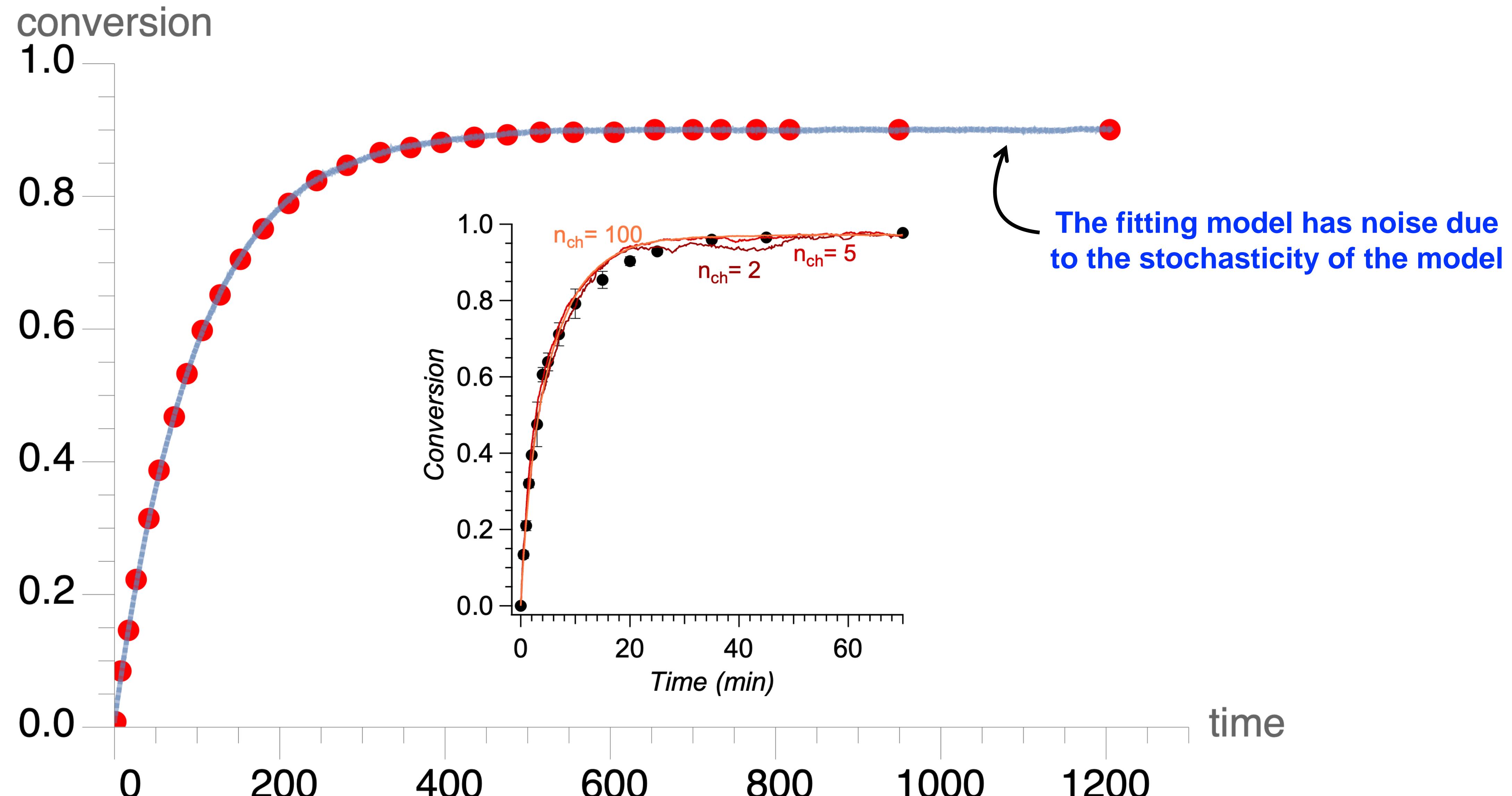
**G G G L G L G G L G L** L □ □ □ □

DP DP = DP + 1

Update simulation time:  $\tau = -\frac{\log s_2}{\sum_j r_j}$

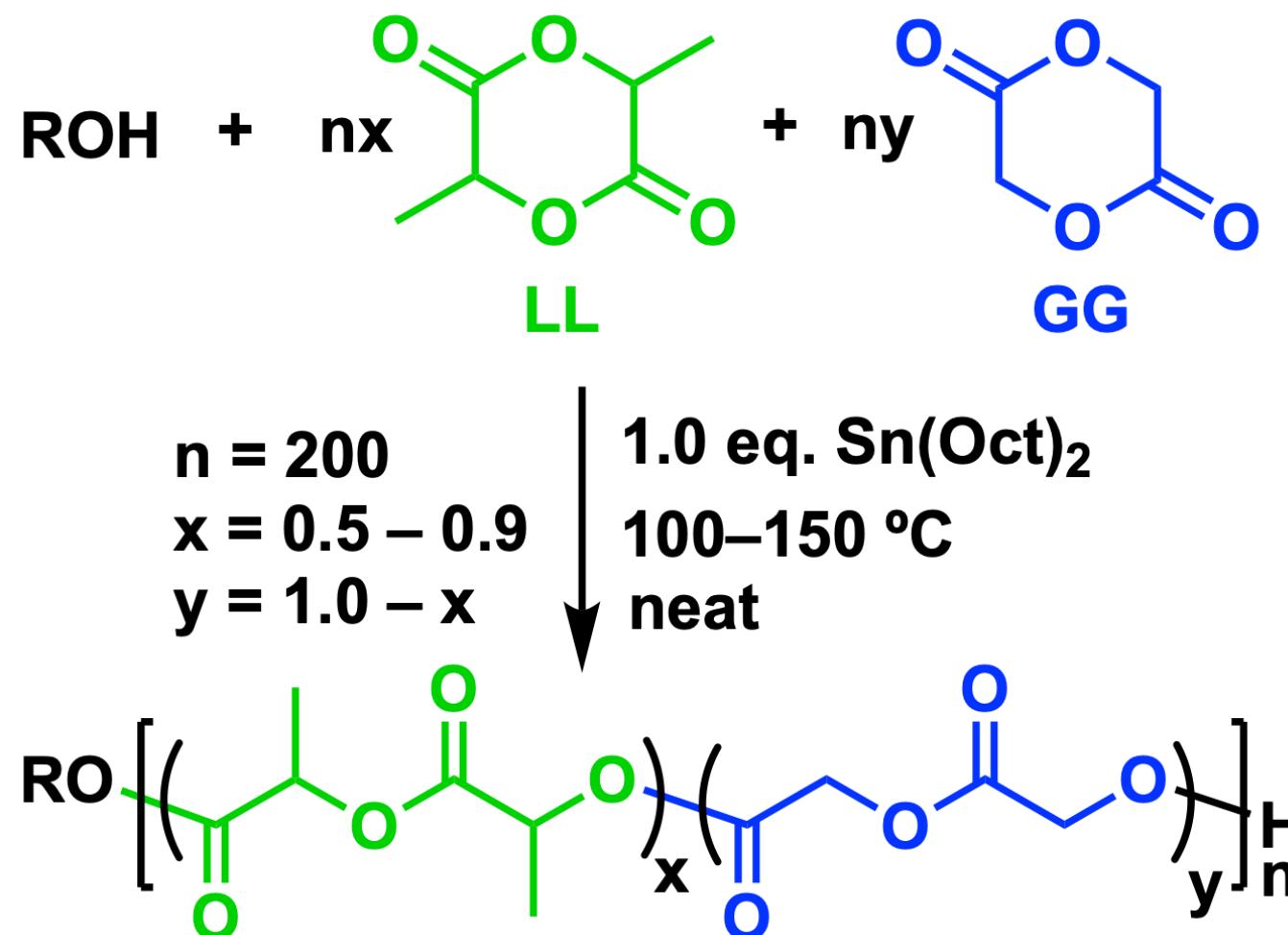
$$t = t + \tau$$

# Being able to fit experimental data to a stochastic model allows one to learn quantitative information about inherently non-deterministic systems

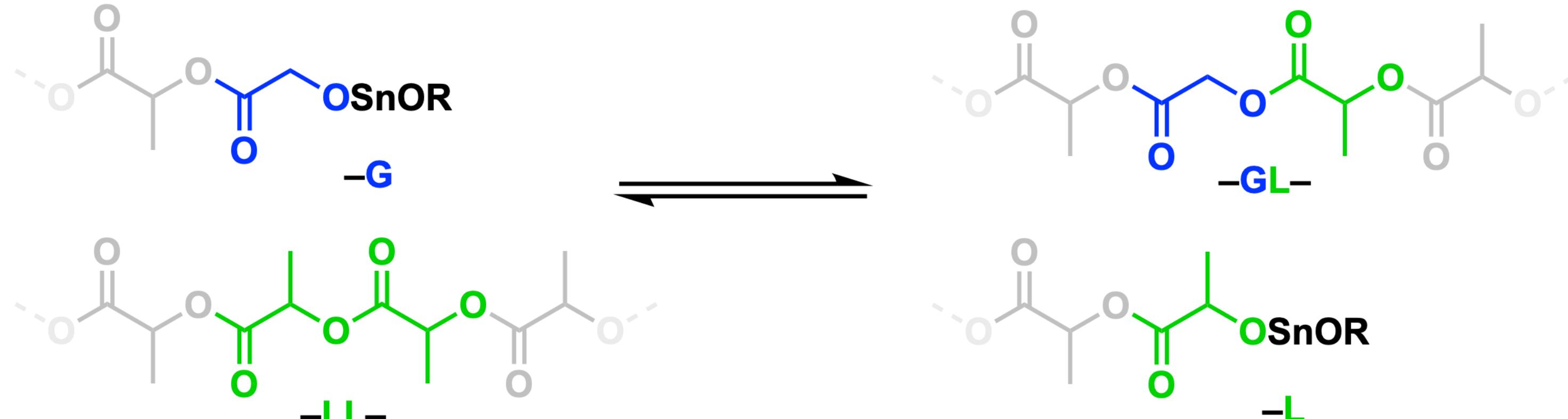


# The new stochastic model allows us to incorporate the full complexity of the lactide/glycolide system. We will fit directly with the full model

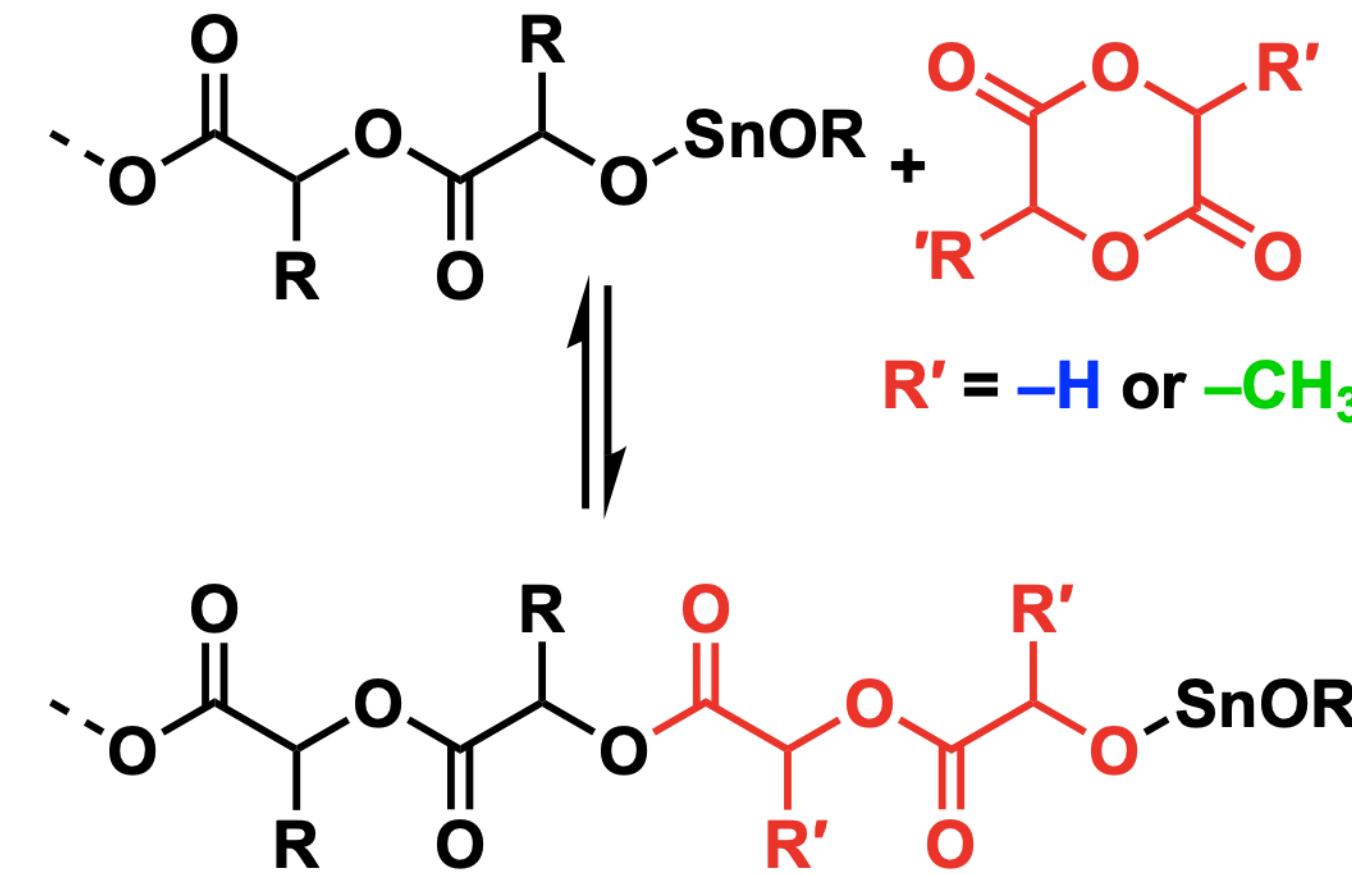
## (a) Polymerization



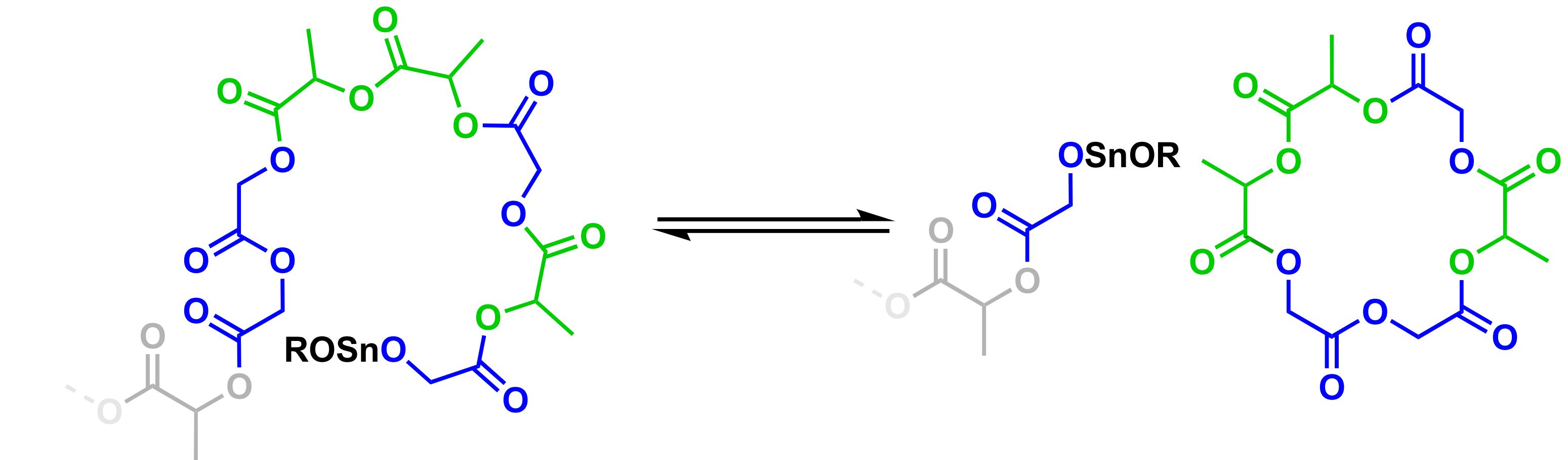
## (c) Interchain Transesterification



## (b) Depolymerization



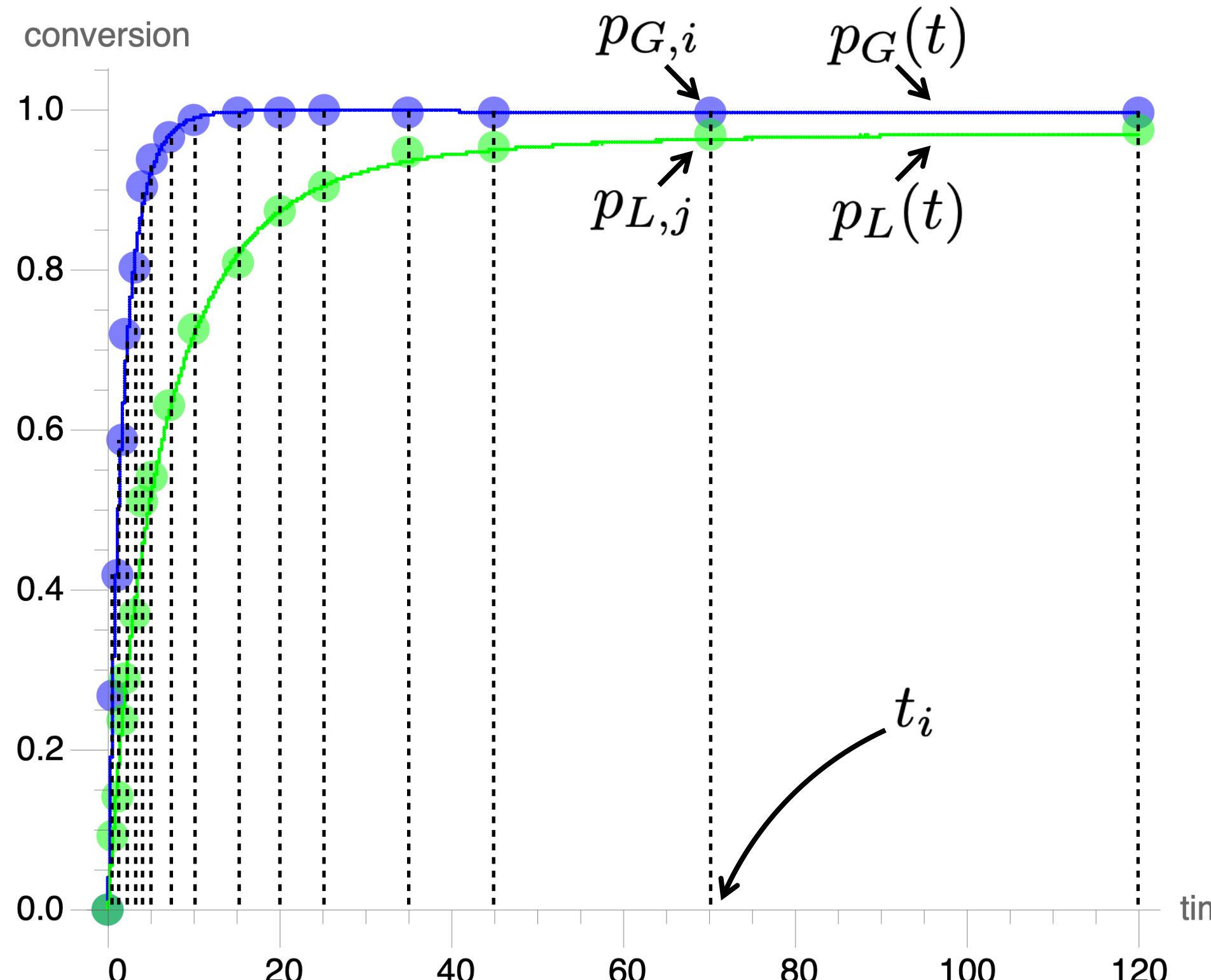
## (d) Intrachain Transesterification



# Sum of squared residuals (SSR) is the function we seek to minimize. The lactide/glycolide system has nine parameters

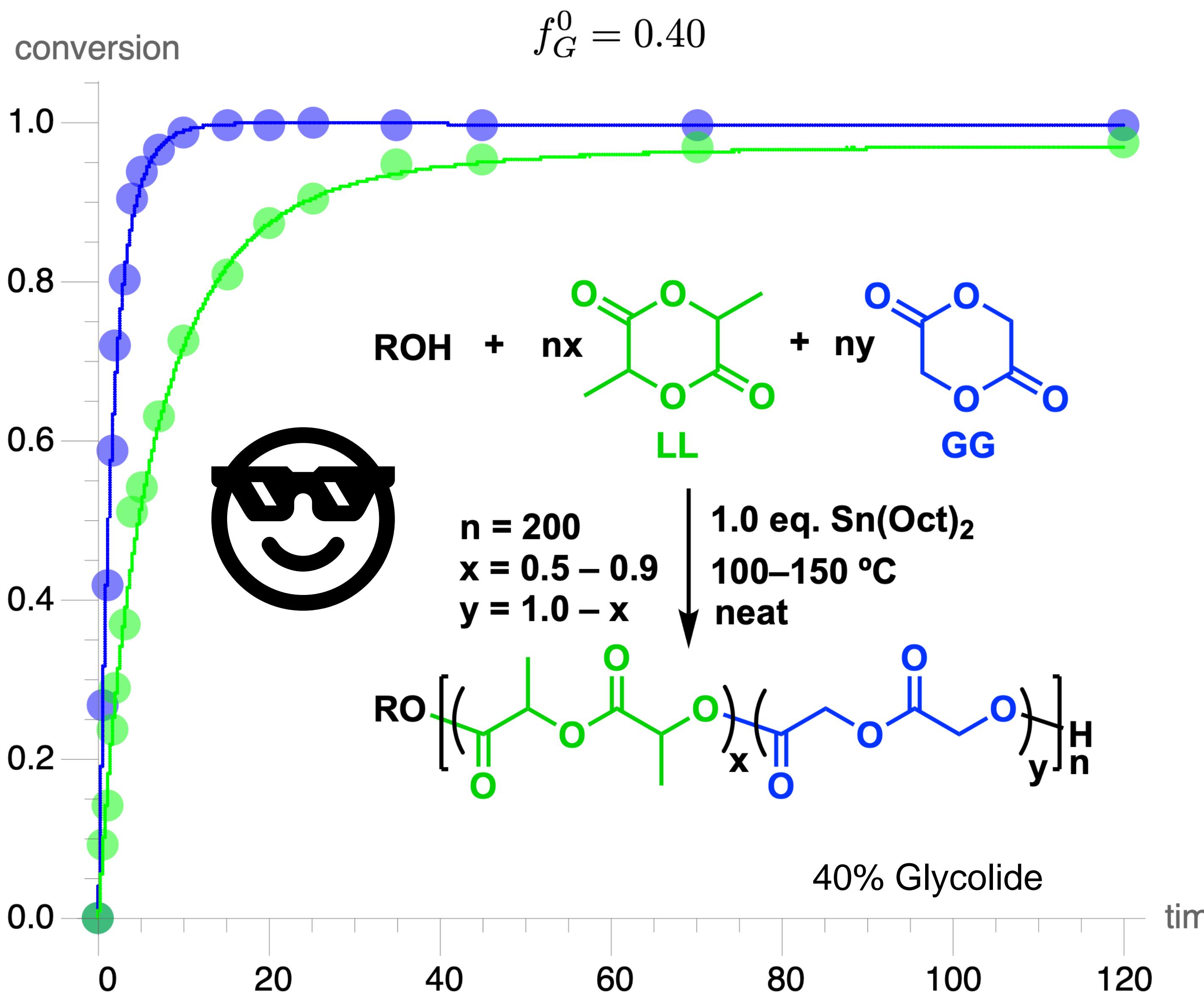
$$SSR(k_{GG}, k_{GL}, k_{LL}, k_{LG}, k_{G-G}, k_{G-L}, k_{L-L}, k_{L-G}, k_T)$$

$$= \sum_{i=1}^{n_p} (p_G(t_i) - p_{G,i})^2 + \sum_{j=n_p+1}^{n_p+n'_p} (p_L(t_{j-n_p}) - p_{L,j-n_p})^2$$

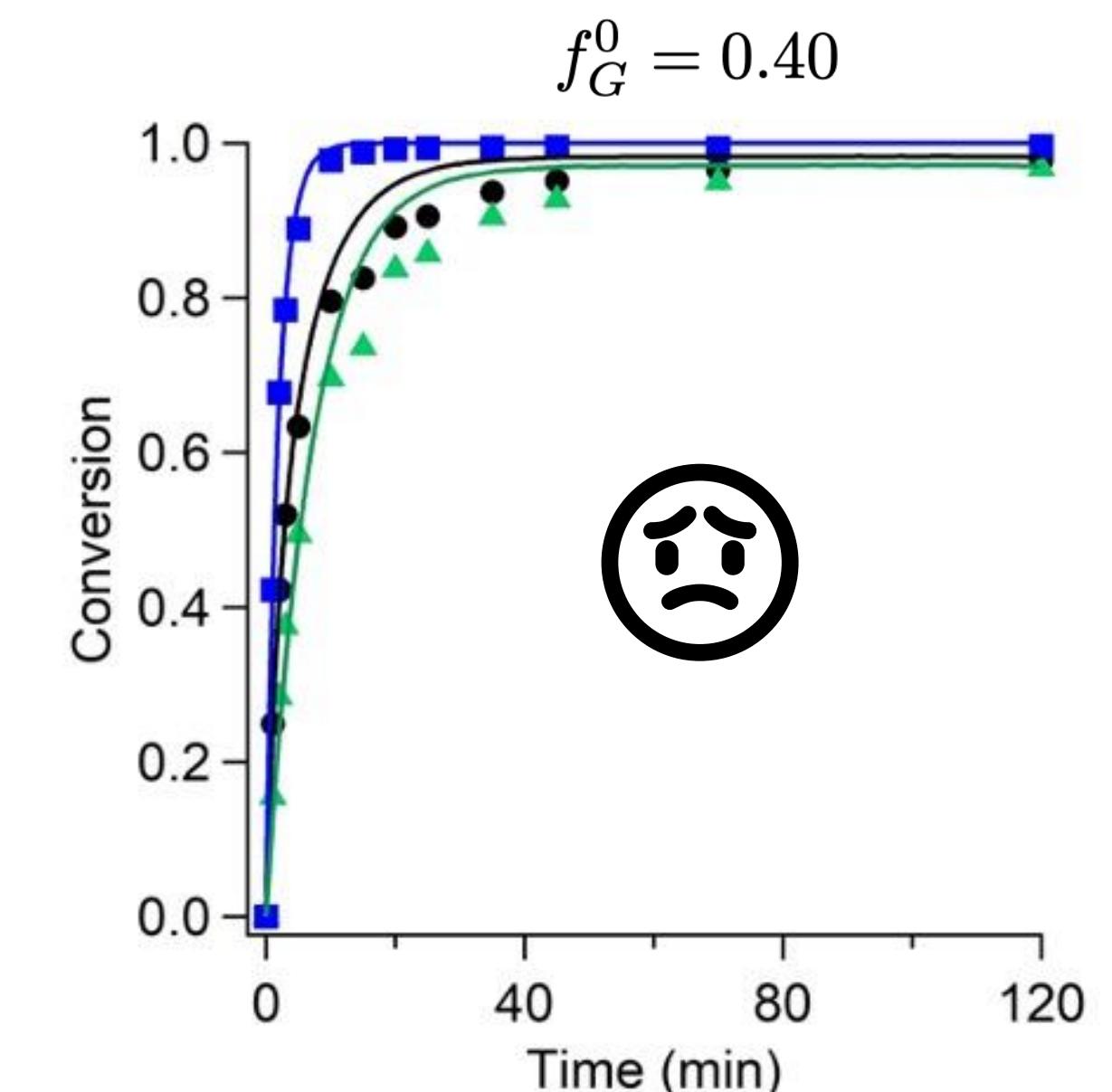


- Match data and model (also data) using interpolation
- Whole system (L and G data) fit simultaneously
- Can change  $n_{\text{chains}}$  for higher speed, or higher precision
- Downhill simplex method used instead of derivative information
- Starting point important – we have a great starting point from our simplified deterministic fits
- Multiple starting points until SSR is below threshold

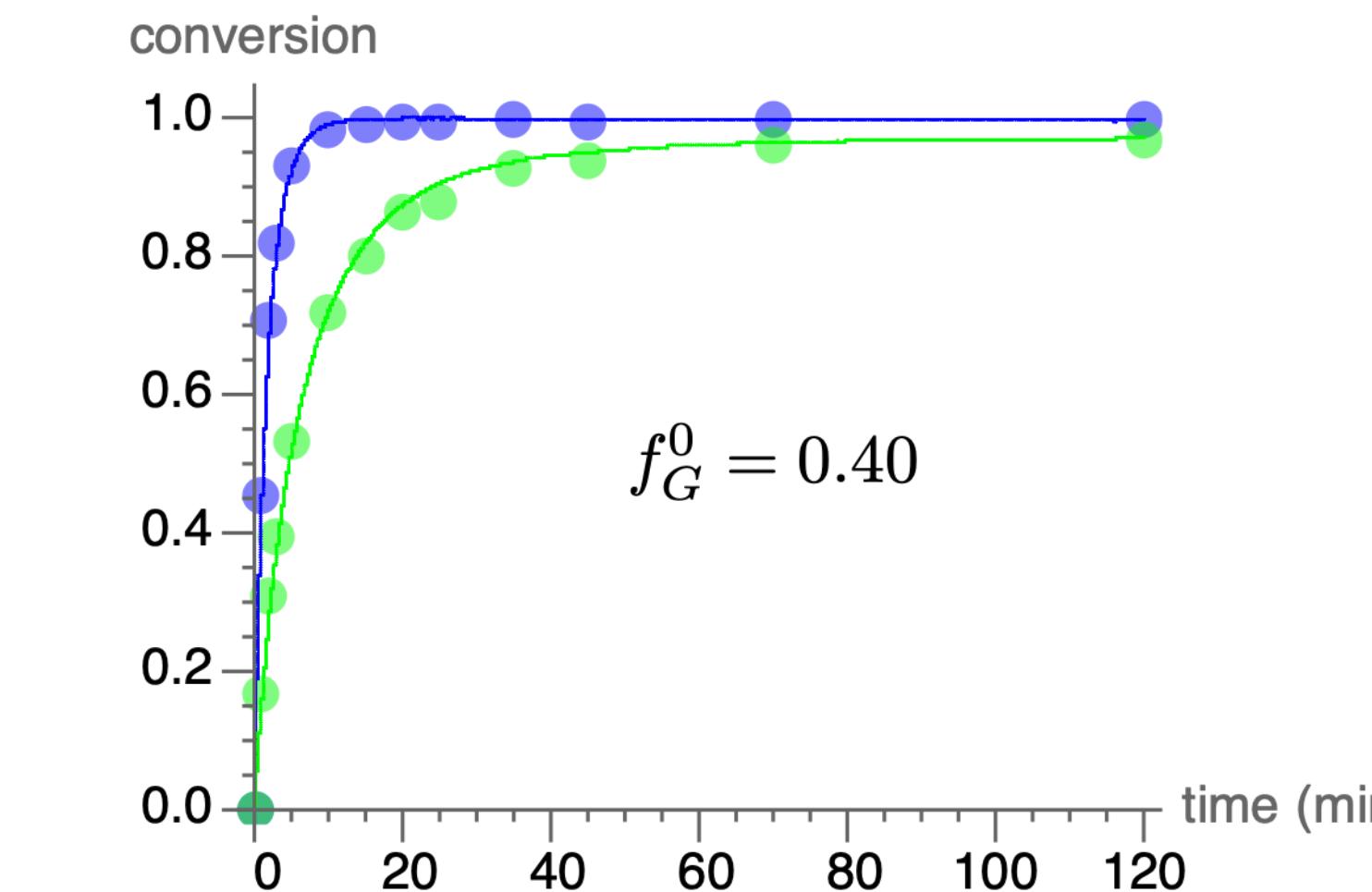
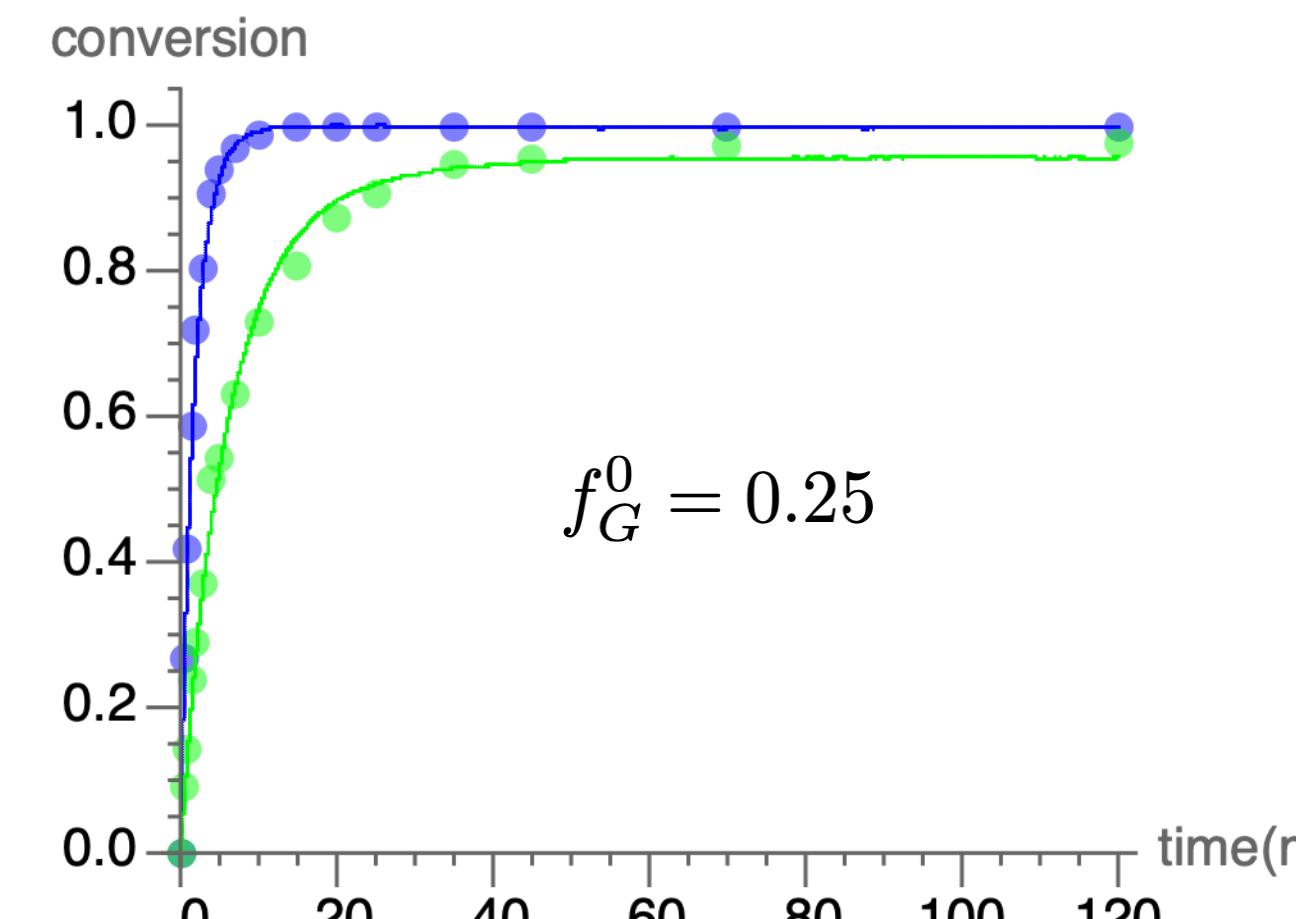
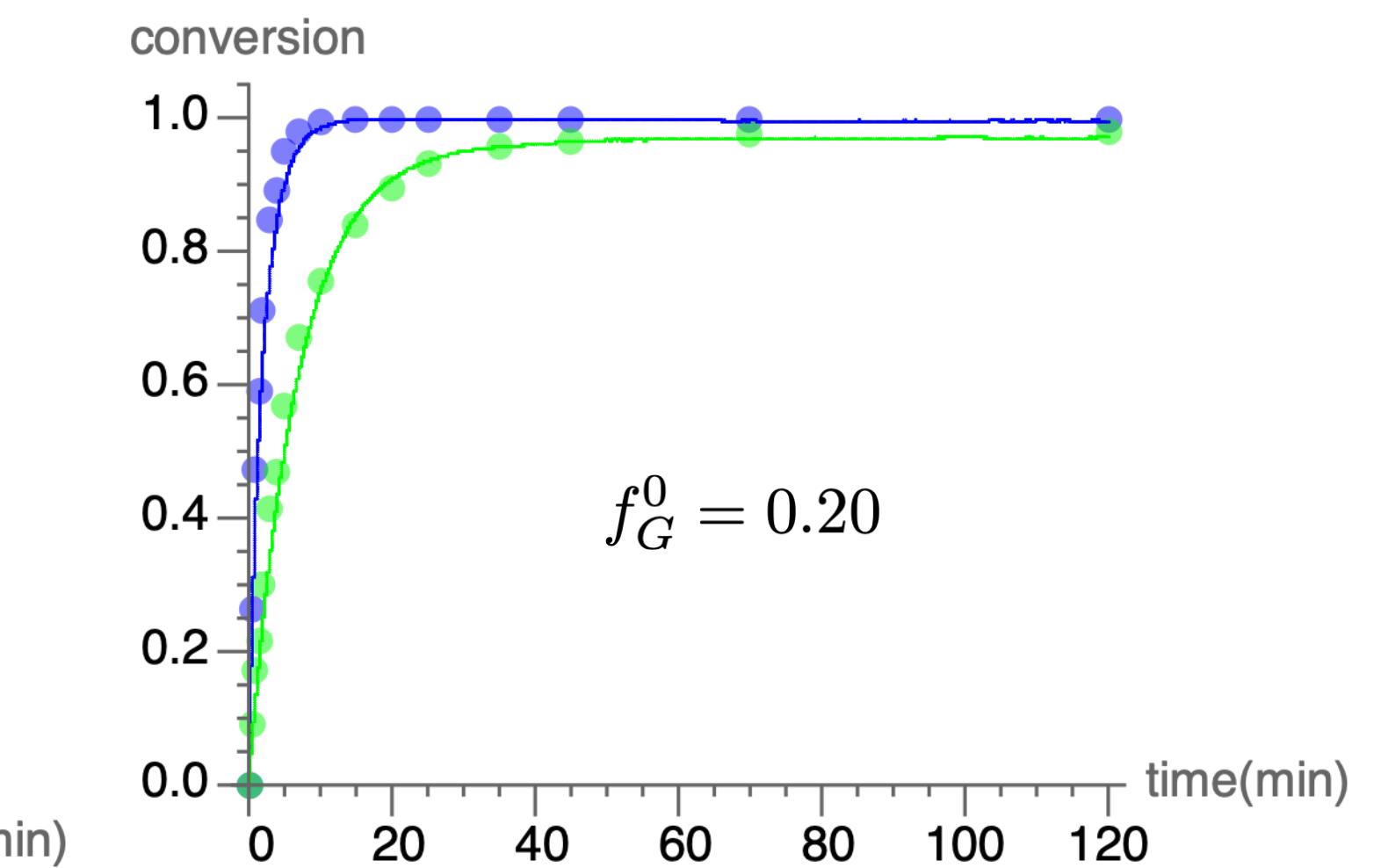
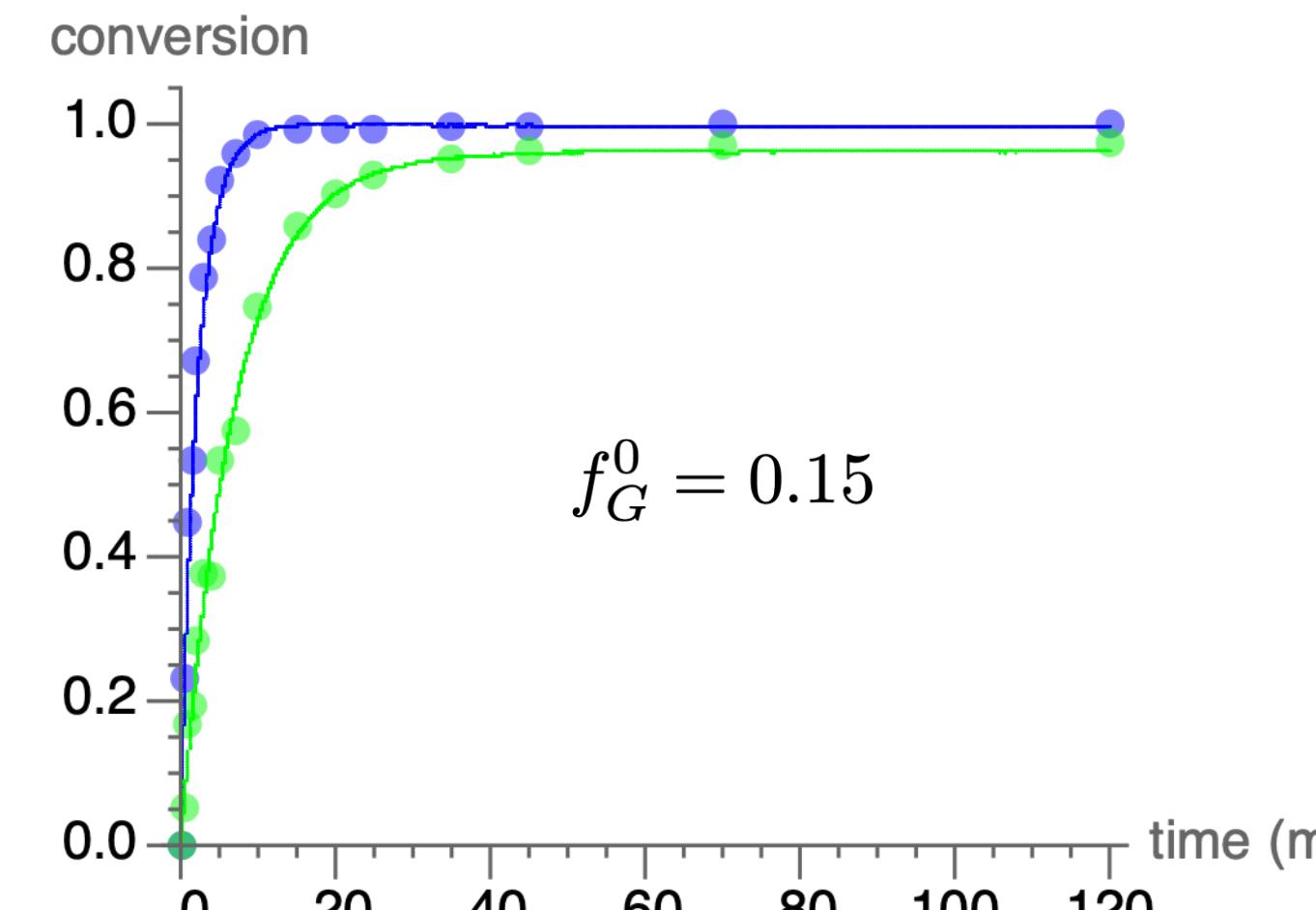
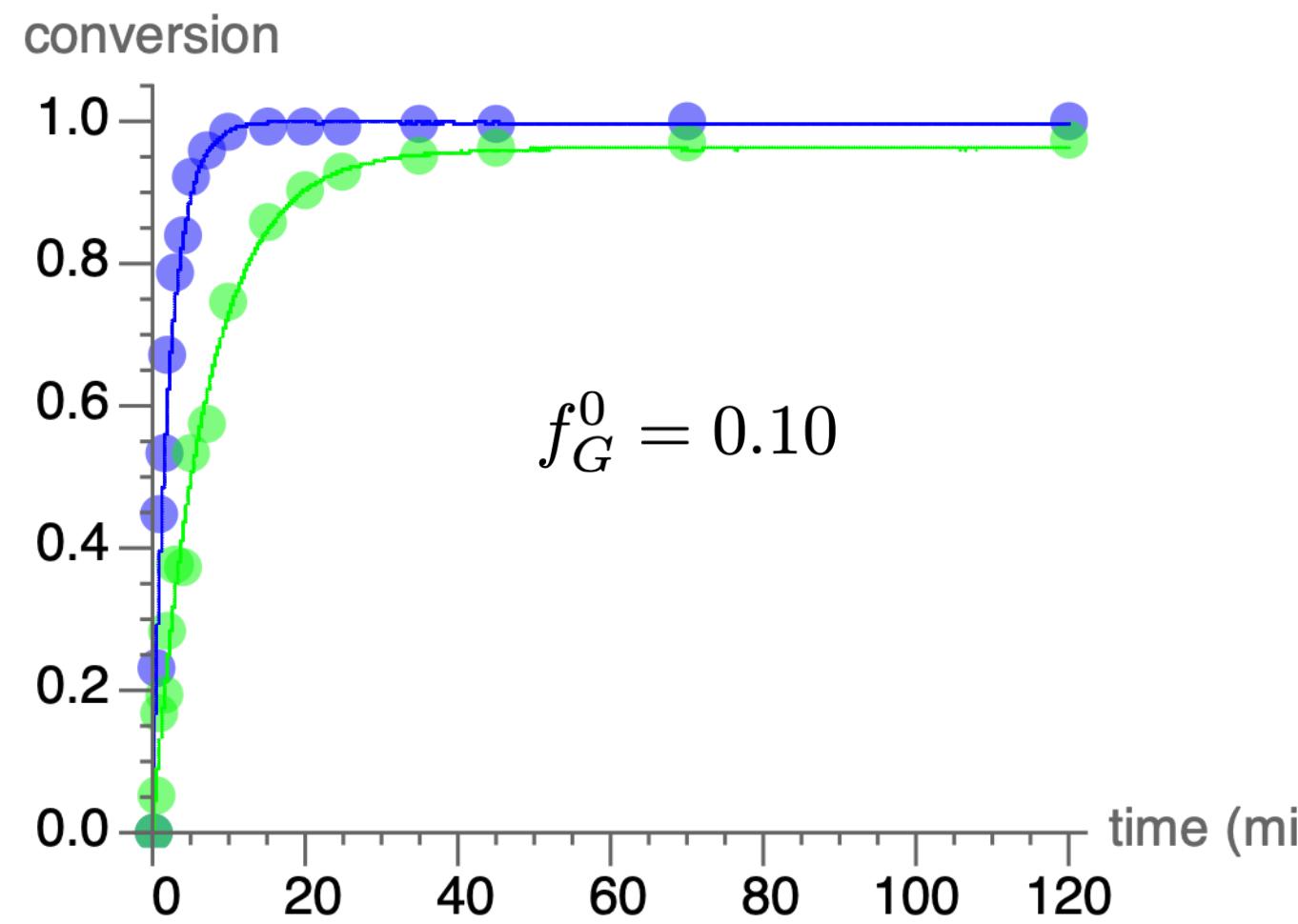
# Fitting the fully parameterized stochastic model based on our earlier parameter estimates yields excellent fits to experimental data



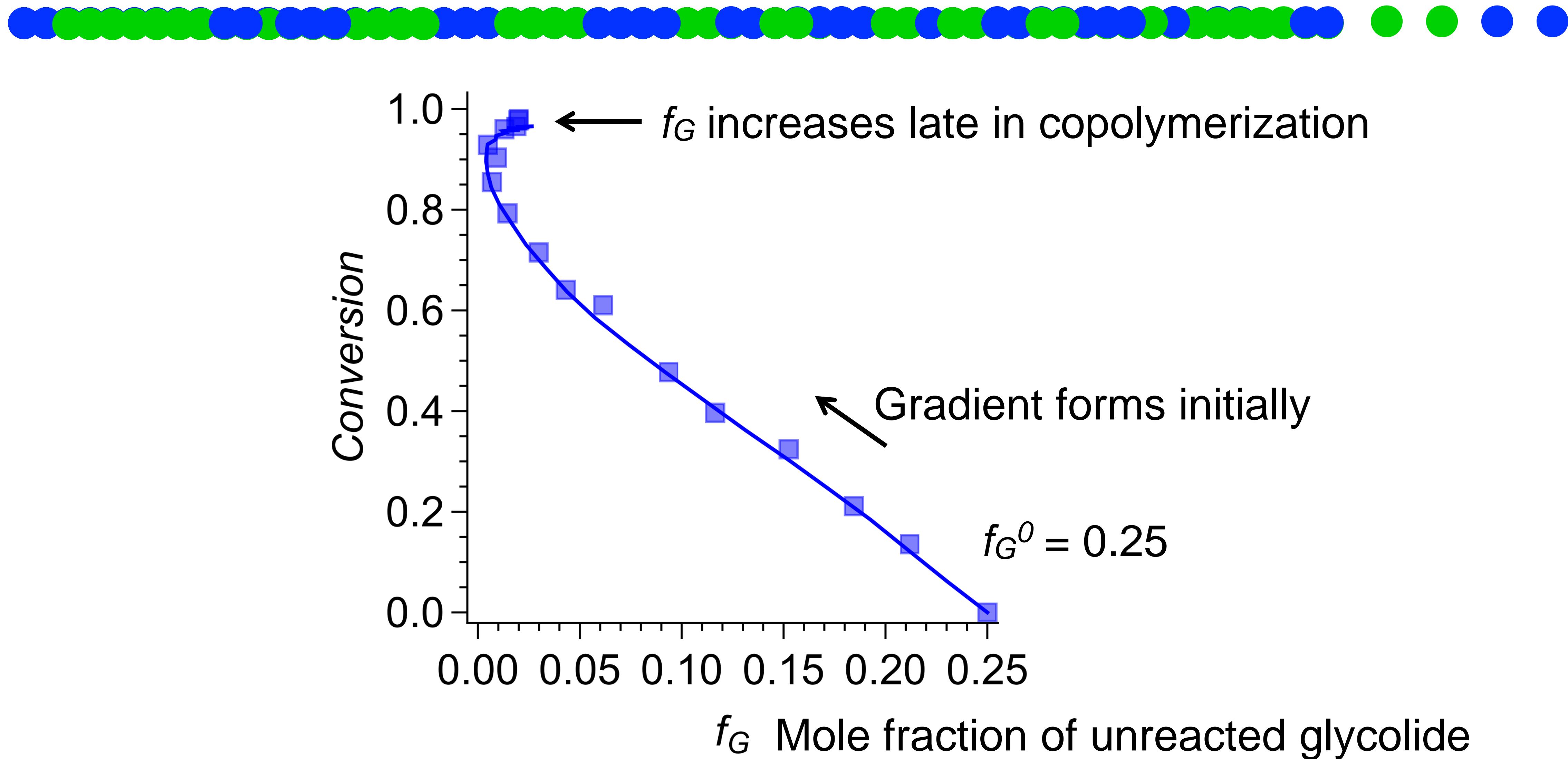
With simplified deterministic parameters



# Fitting the fully parameterized stochastic model based on our earlier parameter estimates yields direct fits to experimental data



Fitting the fully parameterized stochastic model based on our earlier parameter estimates yields direct fits to experimental data



# The parameterized stochastic model is now an oracle to answer any question we pose to the system

Simplified deterministic fit

$$r_G = 4.5 \pm 0.3$$

$$r_L = 0.32 \pm 0.01$$

$$r_R = 0.15 \pm 0.02 \text{ mol/L}$$

Full stochastic model fit – The PLGA oracle!

$$r_G = 4.426 \pm 0.886$$

$$r_L = 0.342 \pm 0.042$$

$$r_{G-G} = 0.041 \pm 0.014 \text{ mol/L}$$

$$r_{G-L} = 0.288 \pm 0.151 \text{ mol/L}$$

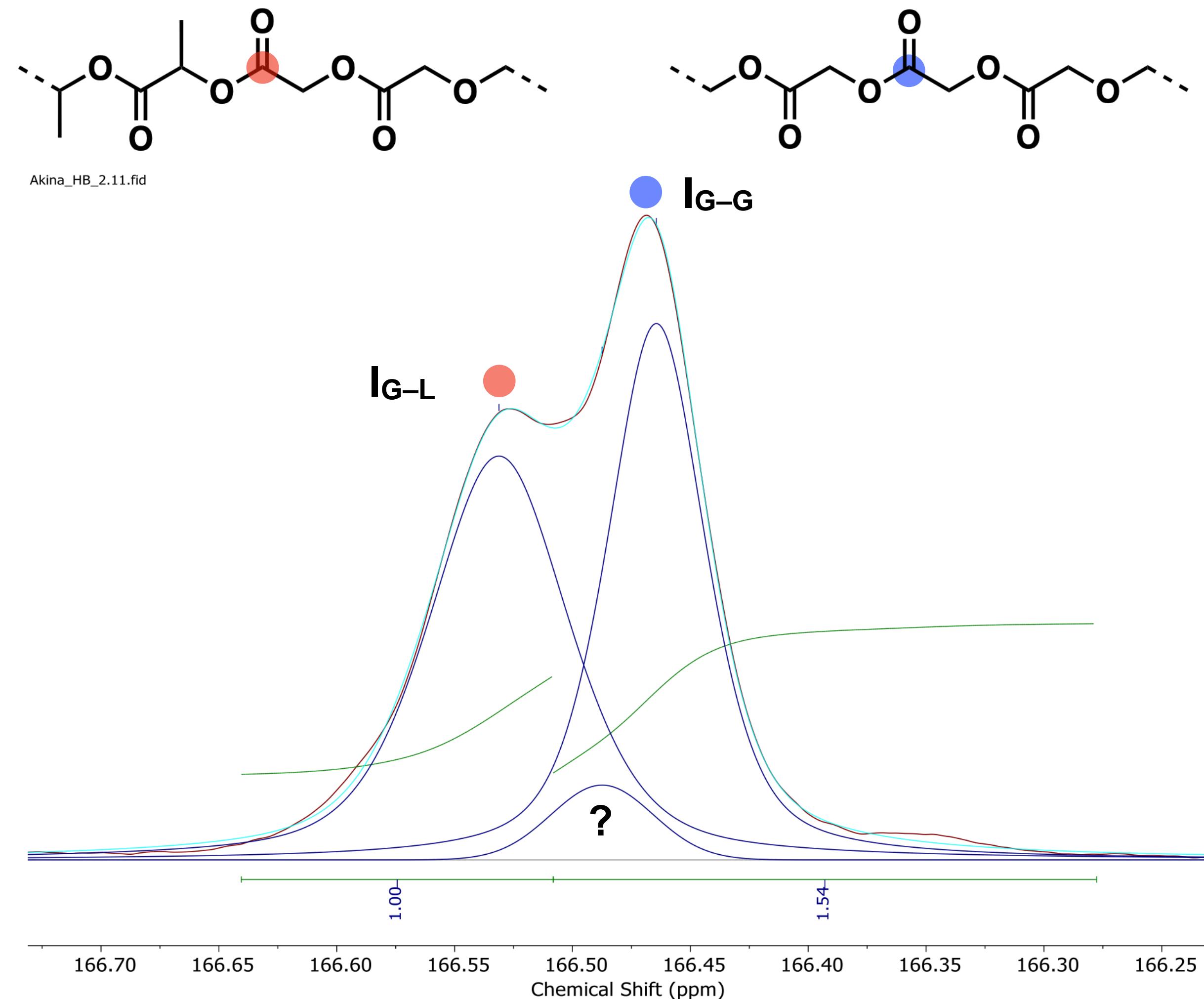
$$r_{L-L} = 0.286 \pm 0.057 \text{ mol/L}$$

$$r_{L-G} = 0.075 \pm 0.015 \text{ mol/L}$$

$$k_T = 0.010 \pm 0.009 \text{ L/mol} \cdot \text{s}$$

This is the machine we need to establish a test for blockiness

# Let's look at the “blockiness” definition and how it's defined and currently measured using $^{13}\text{C}$ NMR spectroscopy

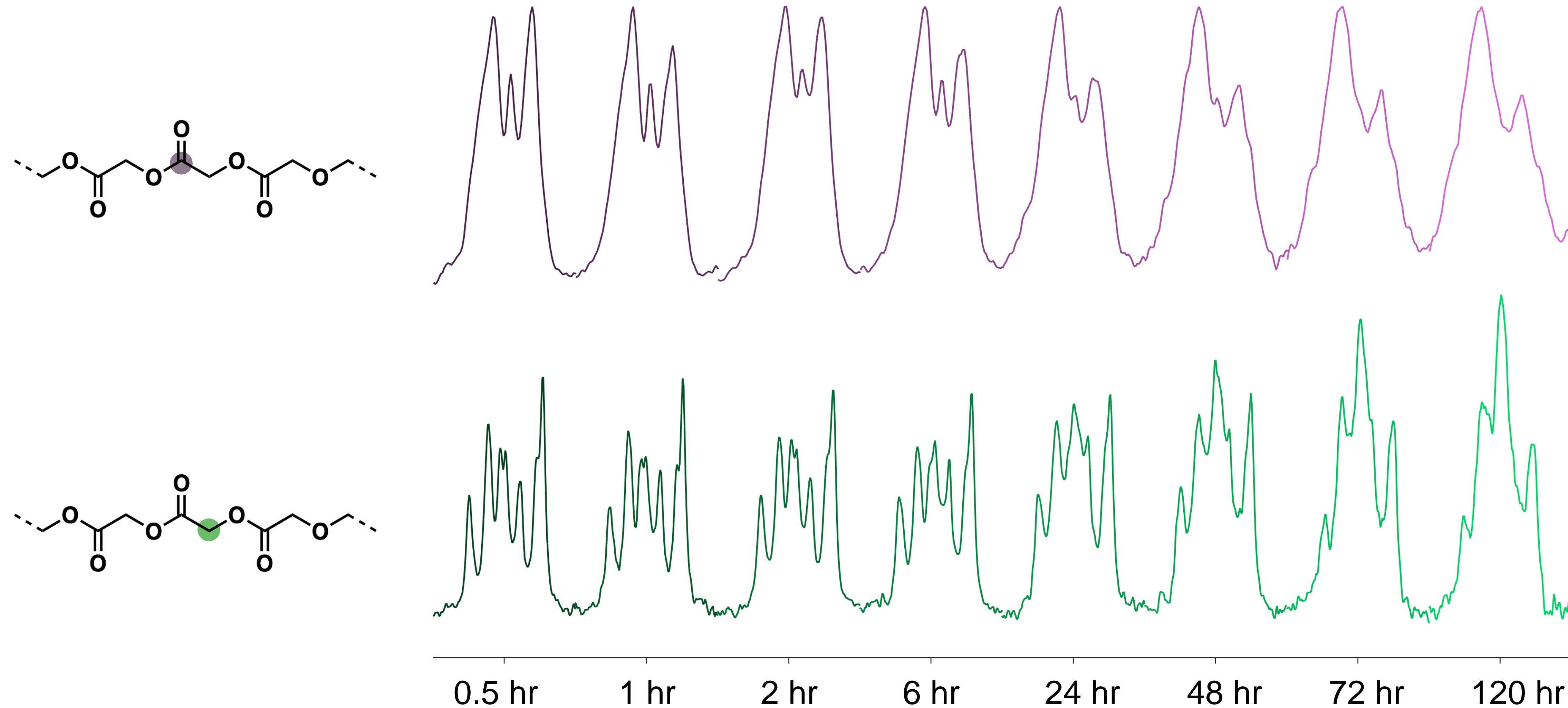


$$R_{cms} = \frac{I_{G-L}}{I_{G-G}}$$

$$R_c = \frac{I_{G-G}}{I_{G-L}}$$

Sun, Jing, Jennifer Walker, Moritz Beck-Broichsitter, and Steven P. Schwendeman. “Characterization of Commercial PLGAs by NMR Spectroscopy.” *Drug Delivery and Translational Research*, August 20, 2021. <https://doi.org/10.1007/s13346-021-01023-3>.

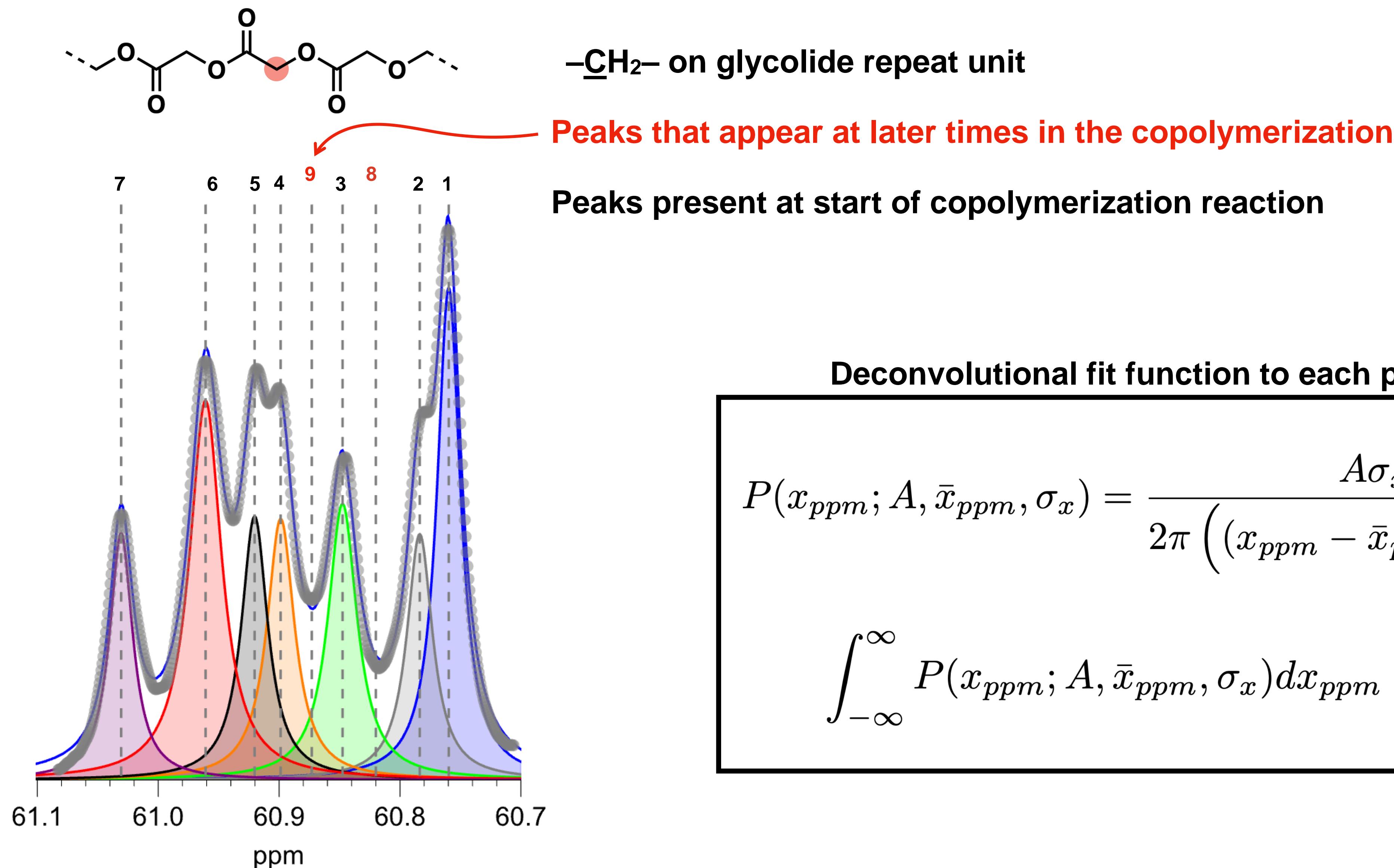
# $^{13}\text{C}$ NMR spectroscopy data reveals changes in blockiness during co-polymerization



**G-centered  $-\text{CH}_2-$  is less sensitive to stereochemistry**

Dr. Michael Bingham

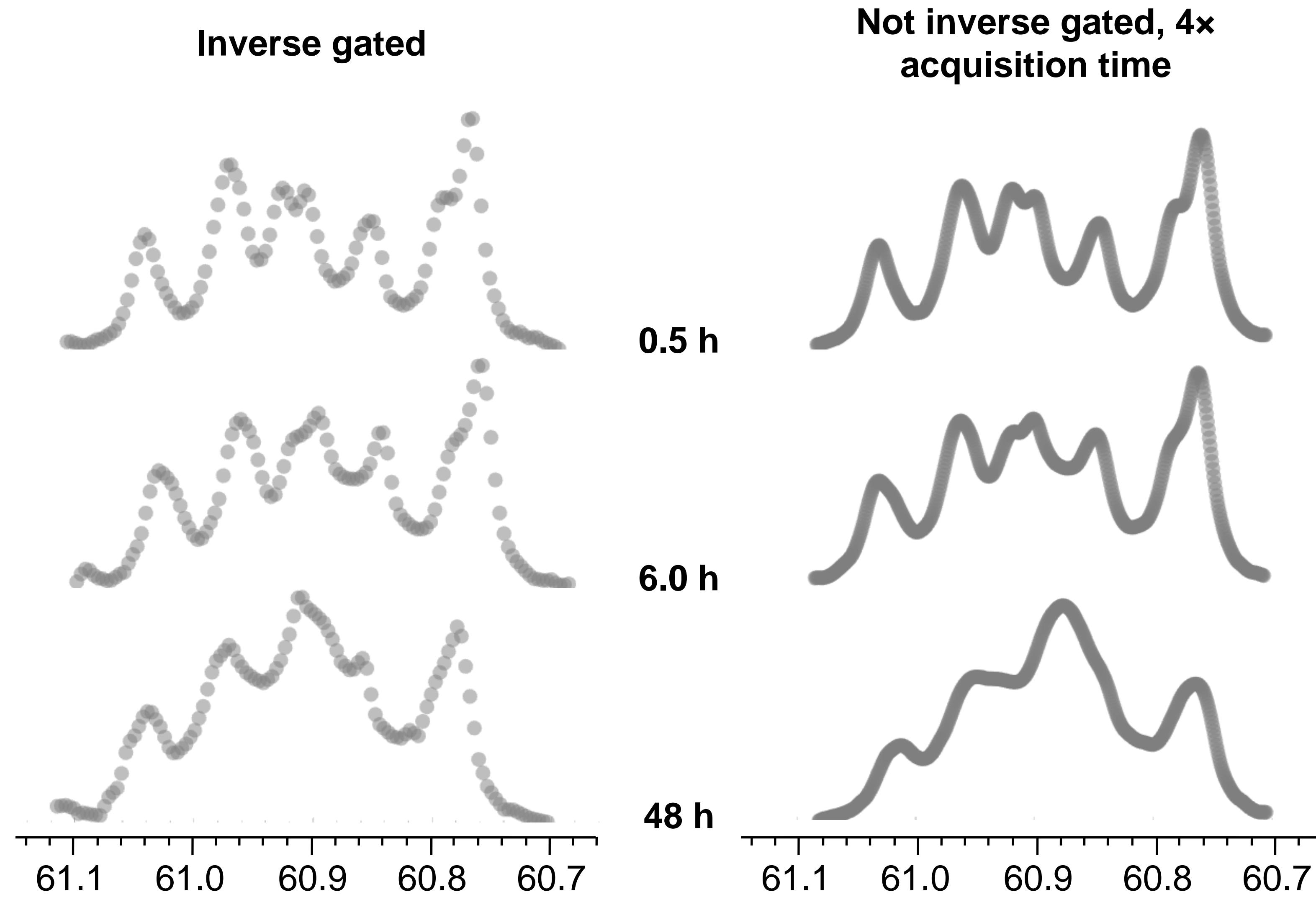
# Analysis of $^{13}\text{C}$ NMR spectroscopy data can now be used to quantify sequence given the detail that the stochastic model enables



$$P(x_{\text{ppm}}; A, \bar{x}_{\text{ppm}}, \sigma_x) = \frac{A\sigma_x}{2\pi \left( (x_{\text{ppm}} - \bar{x}_{\text{ppm}})^2 + \frac{\sigma_x}{4} \right)}$$

$$\int_{-\infty}^{\infty} P(x_{\text{ppm}}; A, \bar{x}_{\text{ppm}}, \sigma_x) dx_{\text{ppm}} = \frac{A}{\sigma_x}$$

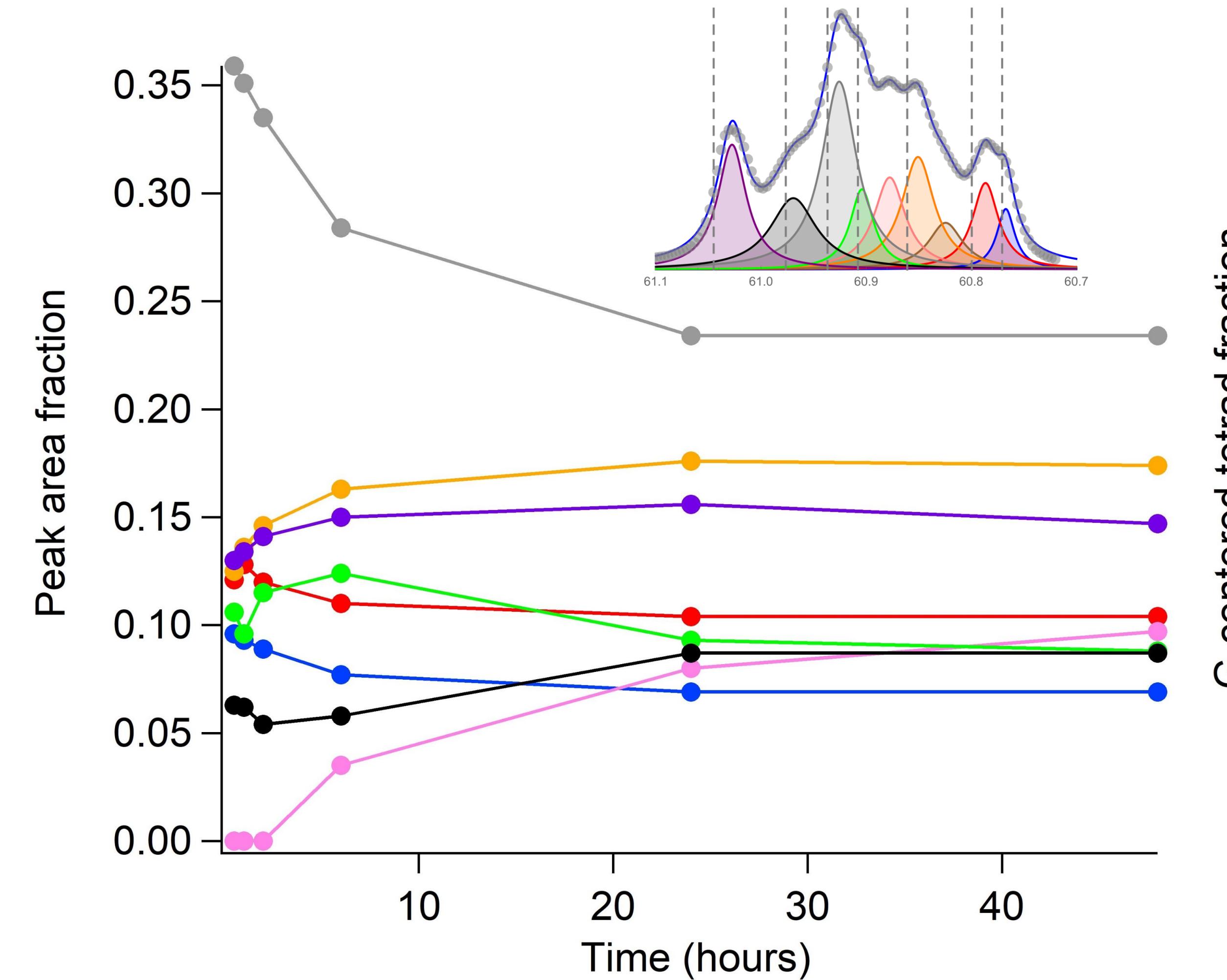
# Practical considerations for $^{13}\text{C}$ NMR spectroscopy measurements of glycolide-centered $\text{CH}_2$



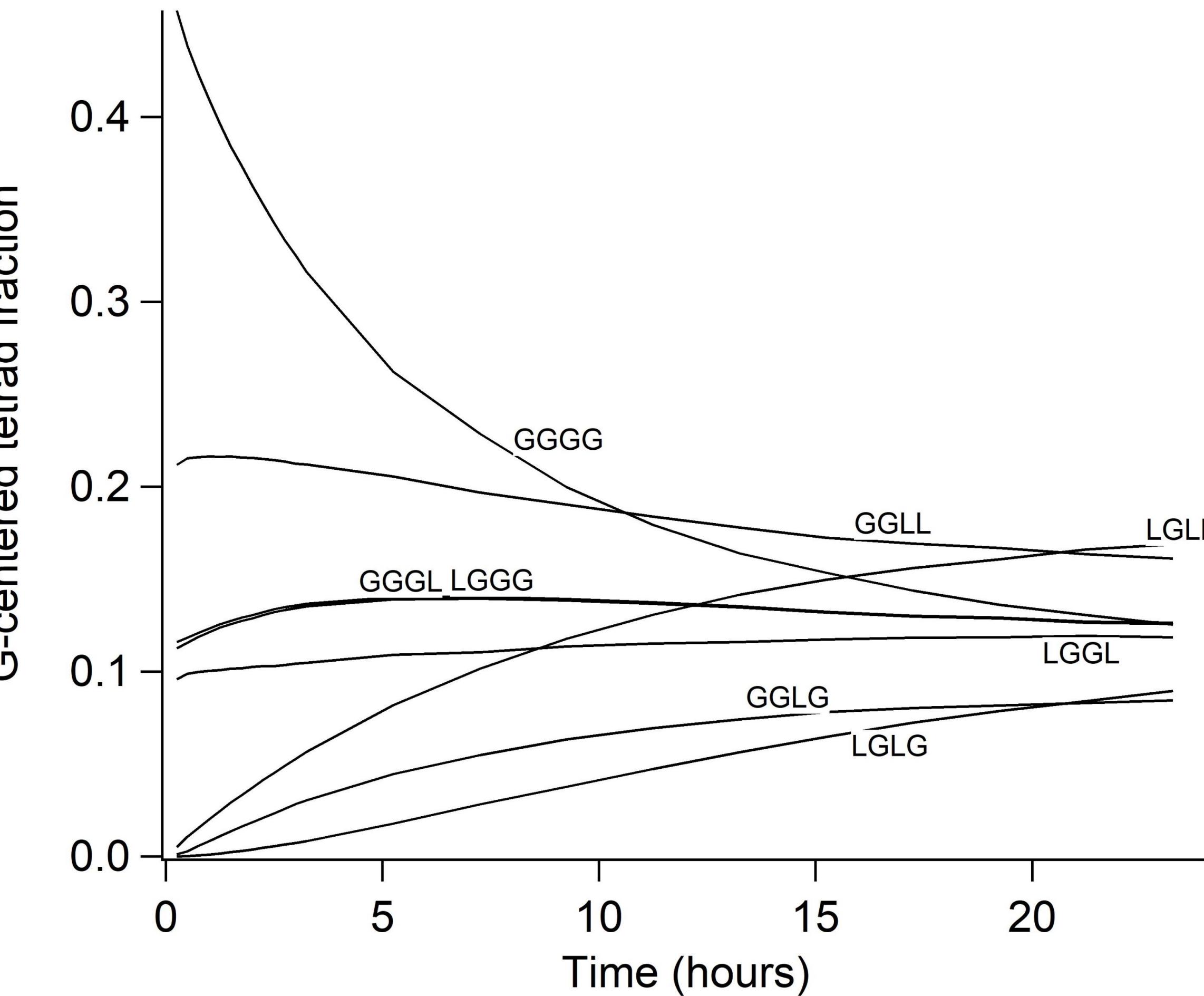
# Compare $^{13}\text{C}$ NMR signals to stochastic prediction of tetrads

$^{13}\text{C}$  NMR signal integrals - likely tetrads (XXXX)?

Stochastic prediction

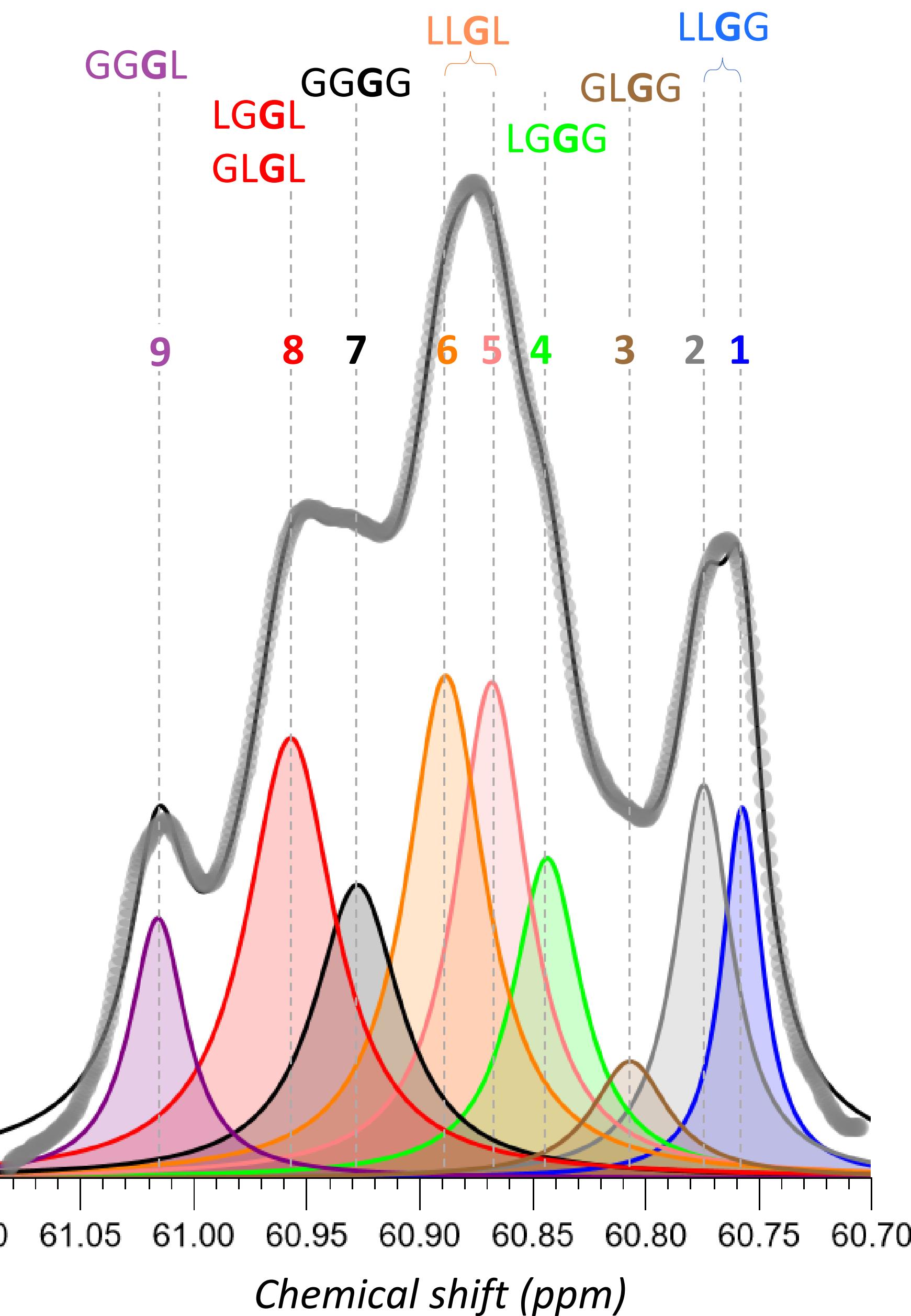
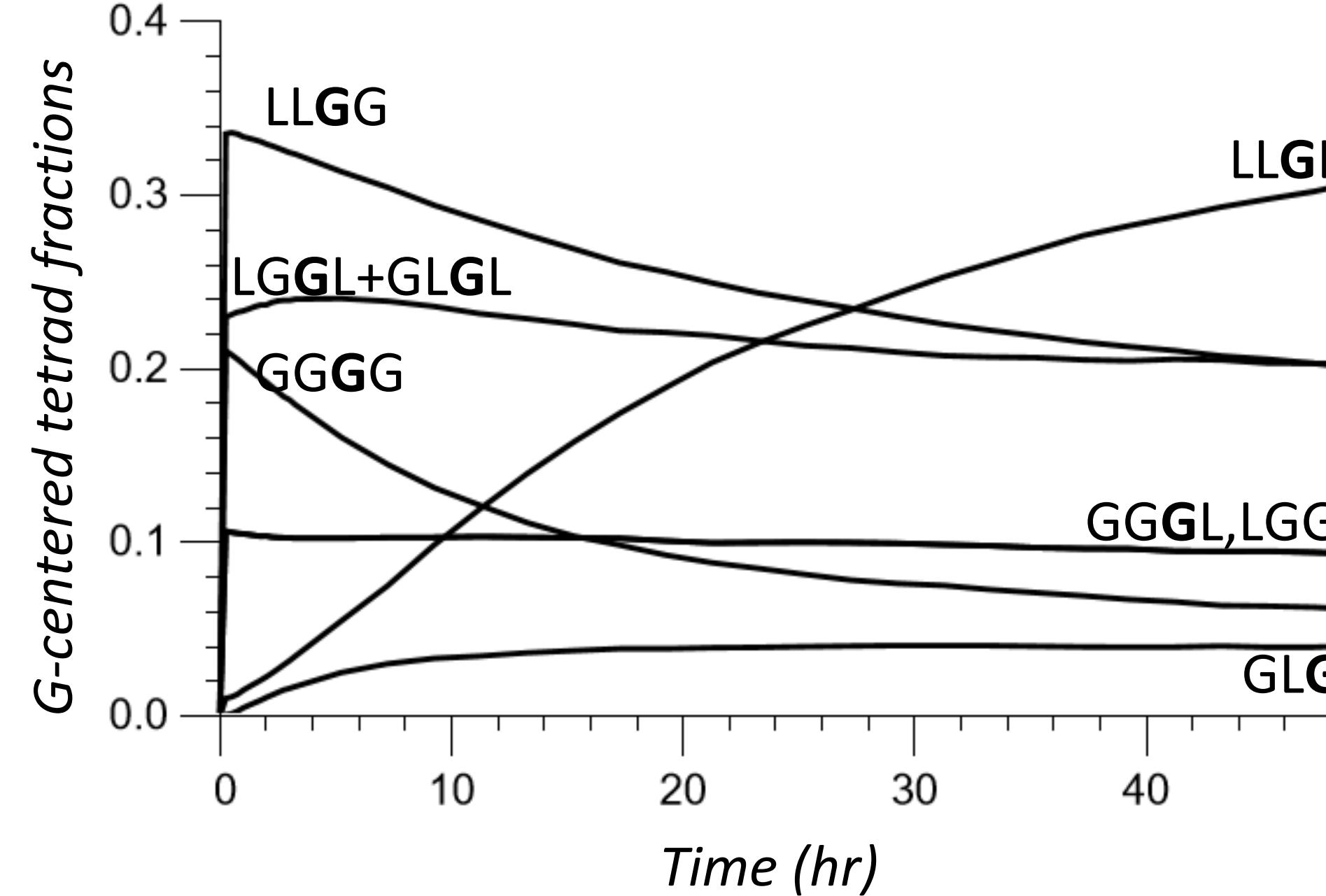
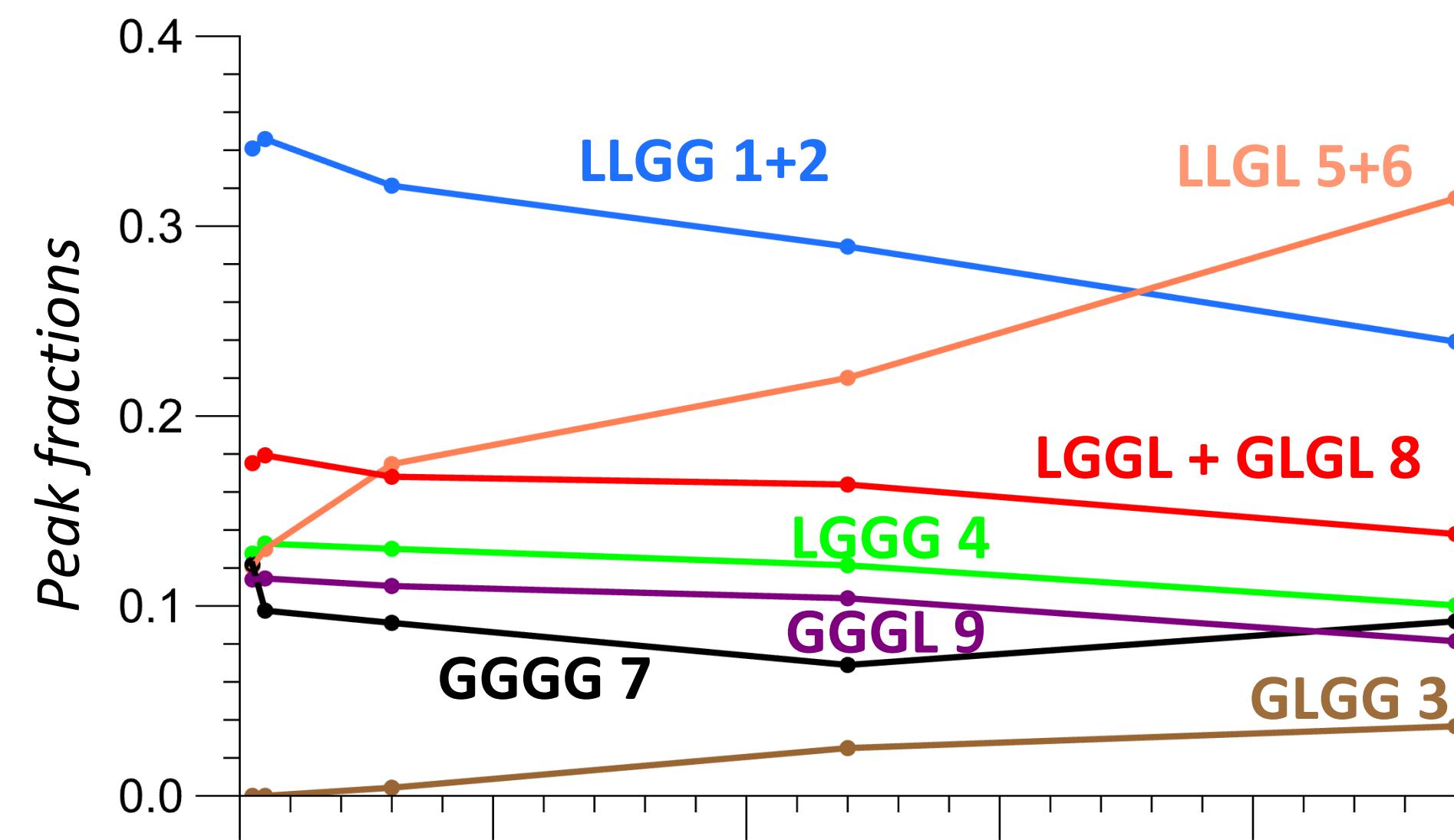


G-centered tetrad fraction

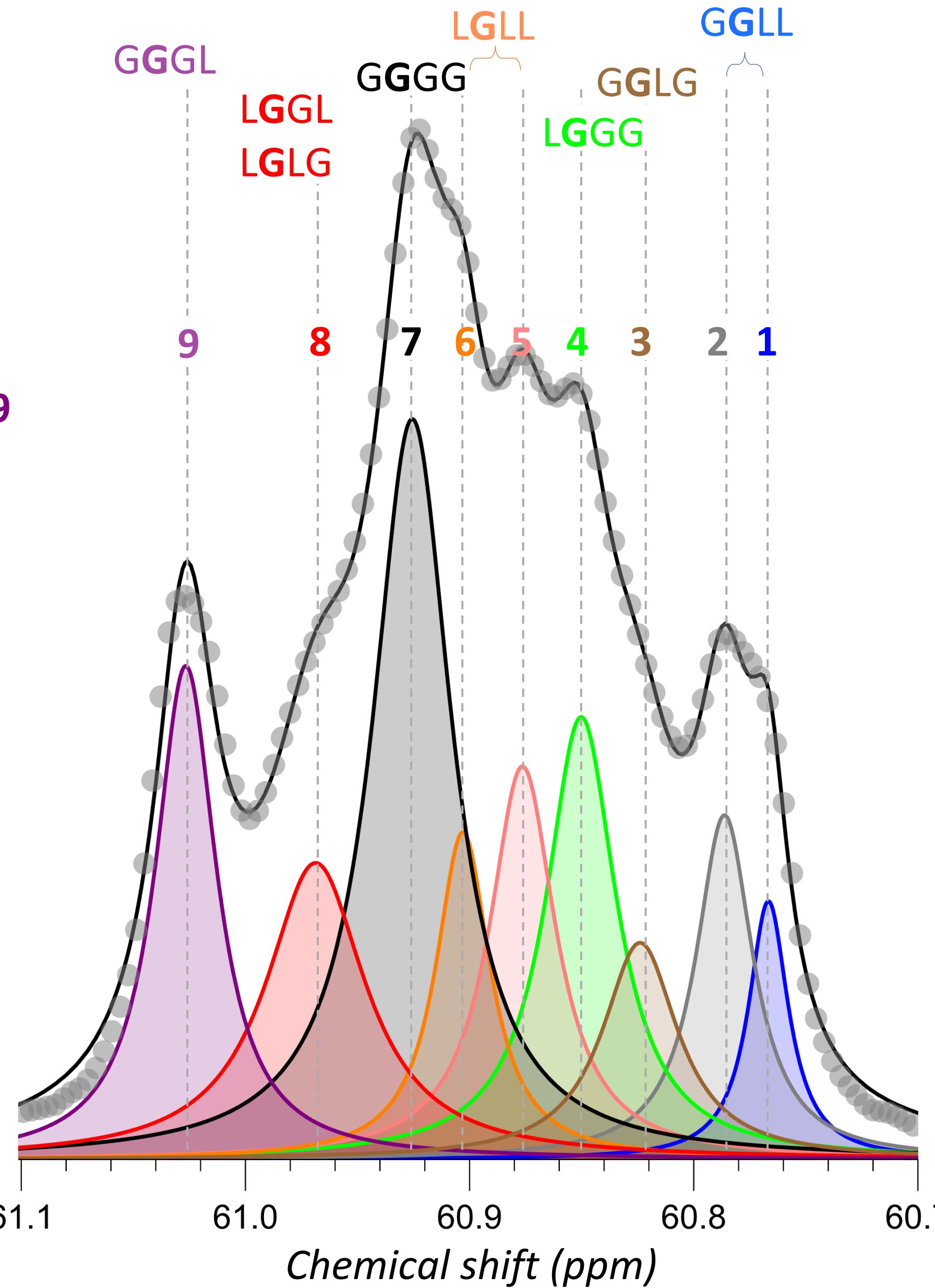
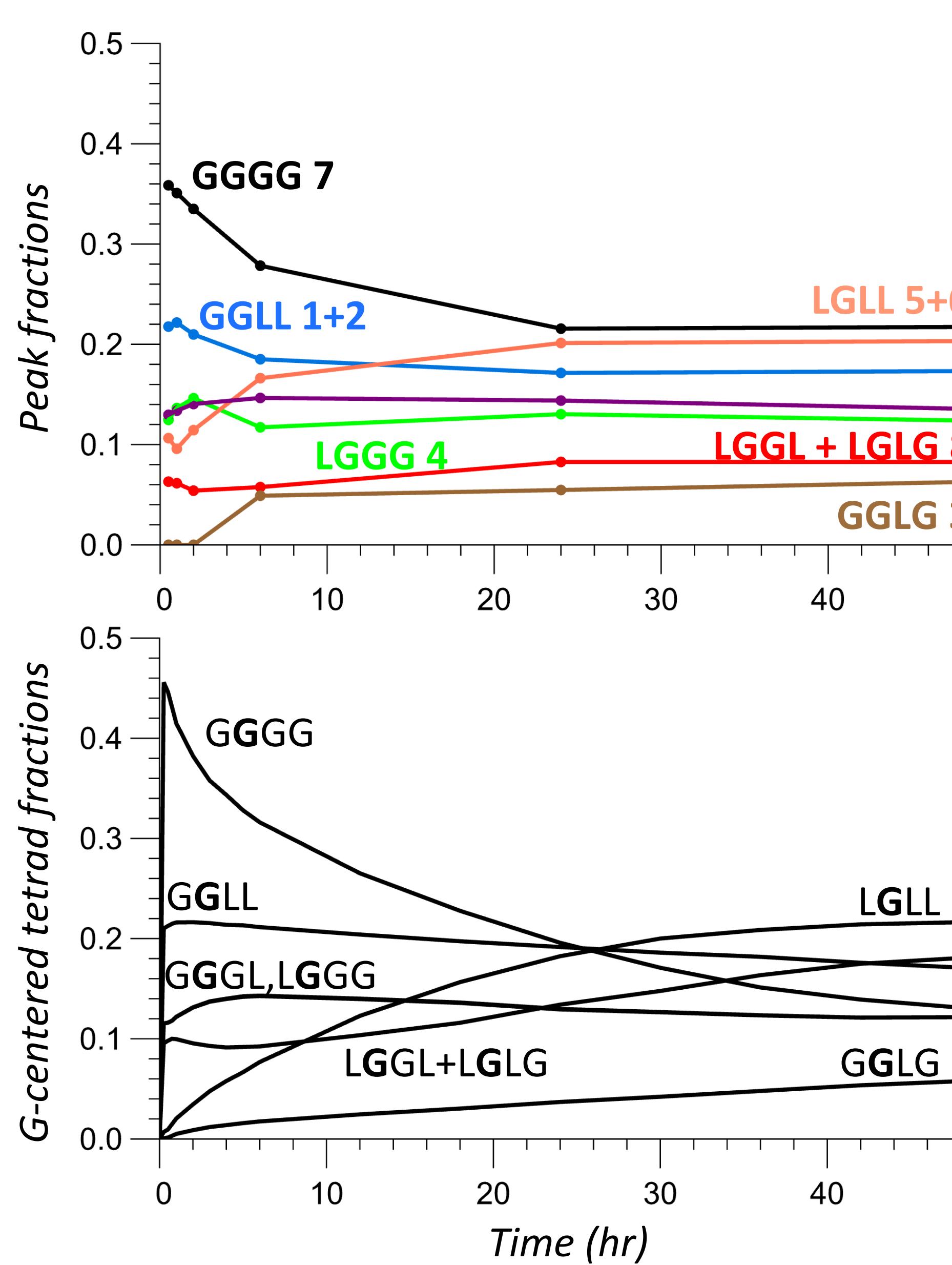


Comparing these trends allows us to make empirical assignments

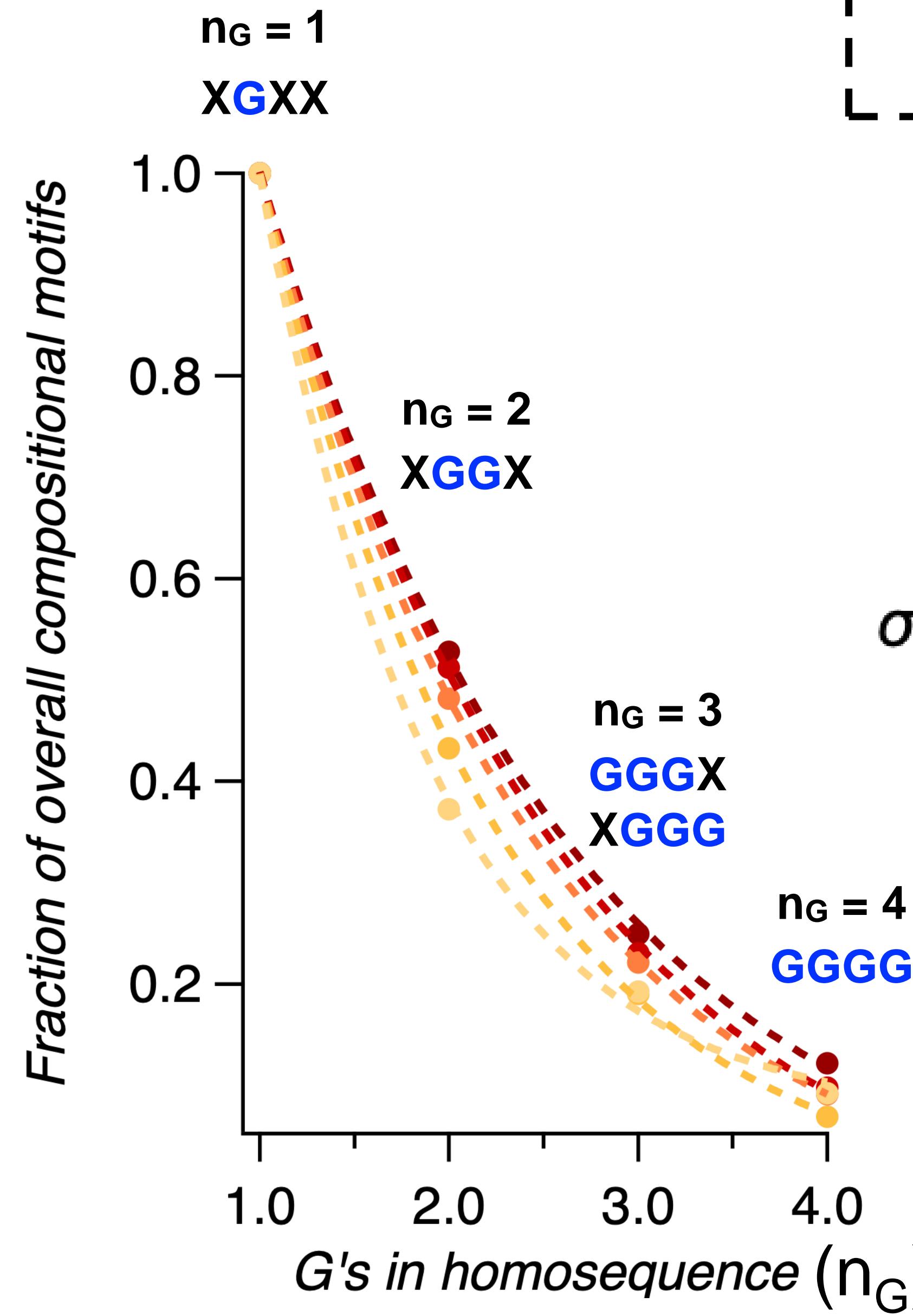
# Proposed assignments agree with experimental data for 75:25 PLGA



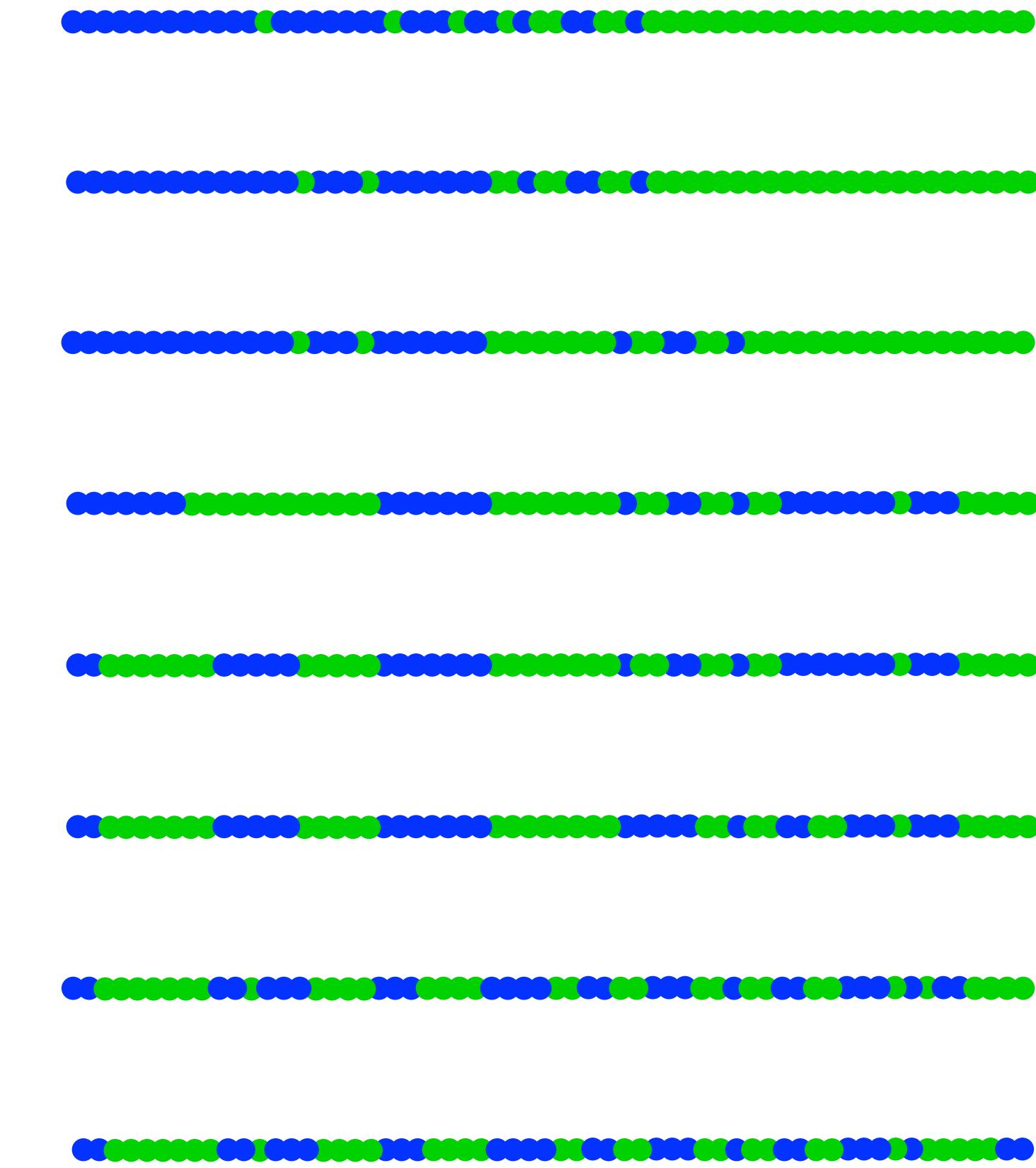
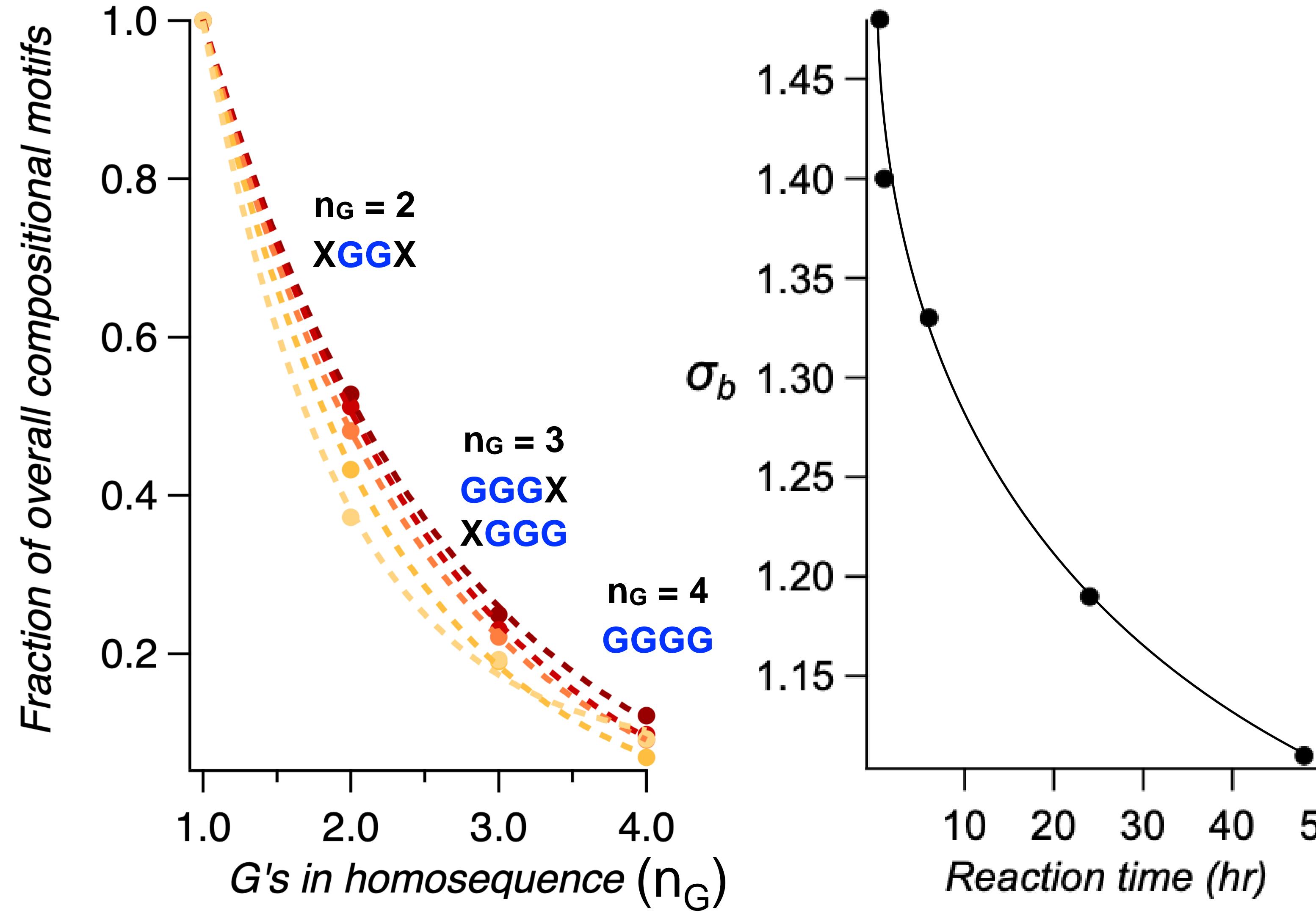
# Peak assignments are consistent with 60:40 PLGA data too



We now define the blockiness with the blockiness parameter  $\sigma_b$  from  $^{13}\text{C}$  NMR spectroscopy data



$$f_{blockiness}(n_G) = e^{(1-n_G)/\sigma_b}$$



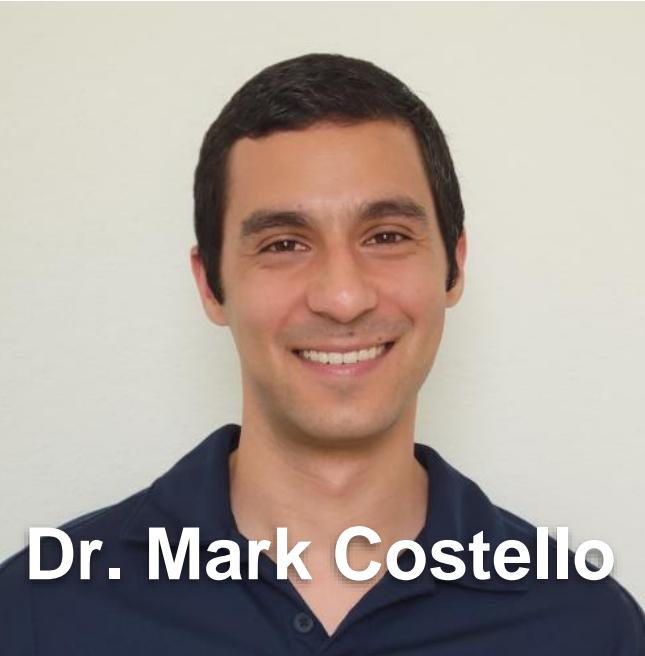
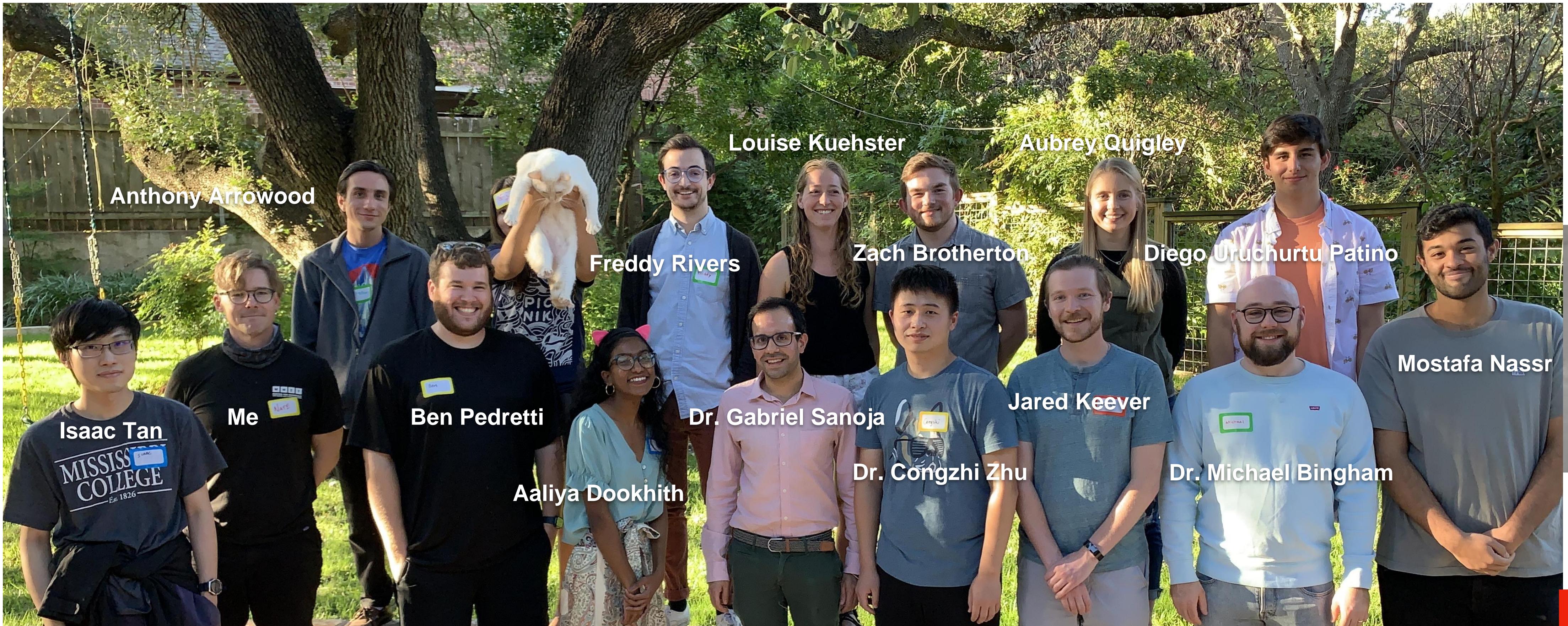
## To summarize our technical innovations

- We developed a new method of data analysis by stochastic model regression to...
- train a complex copolymerization model to allow us to look under the hood of PLGA...
- and to establish a chemistry-informed description for the G/L repeat unit blockiness

### The test establish sameness for blockiness for PLGA

- Collect high-quality  $^{13}\text{C}$  NMR spectroscopy data focusing on 60.7–61.1 ppm
- Fit data to array of nine deconvolutional peak fit functions at fixed ppm
- Normalize total integral, sum integrals by block size ( $n_G = 1, n_G = 2, n_G = 3$ , etc.)
- Fit to  $f(n_G, \sigma_b) = e^{(1-n_G)/\sigma_b}$  and report  $\sigma_B$
- Contact me if help is needed: [lynd@che.utexas.edu](mailto:lynd@che.utexas.edu)

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